# An approach to computing downward closures

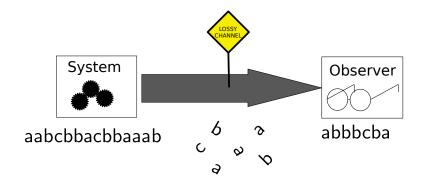
Georg Zetzsche

Technische Universität Kaiserslautern

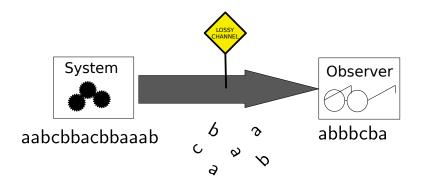
Theorietag 2015







<u>a</u>ab<u>c</u>bb<u>a</u>cb<u>b</u>a<u>aab</u>



# <u>a</u>ab<u>c</u>bb<u>a</u>cb<u>b</u>a<u>aab</u>

#### **Downward Closures**

- $u \le v$ : u is a subsequence of v
- $L \downarrow = \{ u \in X^* \mid \exists v \in L : u \leq v \}$
- Observer sees precisely L↓

Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

## Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

## **Applications**

Given an automaton for  $L_{\downarrow}$ , many things are decidable:

### Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

# **Applications**

Given an automaton for  $L\downarrow$ , many things are decidable:

• Inclusion of behavior under lossy observation  $(K \downarrow \subseteq L \downarrow)$ Ordinary inclusion almost always undecidable!

### Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

# **Applications**

Given an automaton for  $L\downarrow$ , many things are decidable:

- Inclusion of behavior under lossy observation  $(K \downarrow \subseteq L \downarrow)$ Ordinary inclusion almost always undecidable!
- Which actions occur arbitrarily often?  $(a^* \subseteq L \downarrow)$

## Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

## Applications

Given an automaton for  $L\downarrow$ , many things are decidable:

- Inclusion of behavior under lossy observation  $(K \downarrow \subseteq L \downarrow)$ Ordinary inclusion almost always undecidable!
- Which actions occur arbitrarily often?  $(a^* \subseteq L \downarrow)$
- Is b ever executed after a?  $(ab \in L\downarrow)$

## Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

## **Applications**

Given an automaton for  $L\downarrow$ , many things are decidable:

- Inclusion of behavior under lossy observation  $(K \downarrow \subseteq L \downarrow)$ Ordinary inclusion almost always undecidable!
- Which actions occur arbitrarily often?  $(a^* \subseteq L\downarrow)$
- Is b ever executed after a?  $(ab \in L\downarrow)$
- Can the system run arbitrarily long? (L↓ infinite)

## Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

### **Applications**

Given an automaton for  $L\downarrow$ , many things are decidable:

- Inclusion of behavior under lossy observation  $(K \downarrow \subseteq L \downarrow)$ Ordinary inclusion almost always undecidable!
- Which actions occur arbitrarily often?  $(a^* \subseteq L\downarrow)$
- Is b ever executed after a?  $(ab \in L\downarrow)$
- Can the system run arbitrarily long? (*L*↓ infinite)

#### **Problem**

- Finite automaton for  $L\downarrow$  exists for every L.
- How can we compute it?

# Negative results

### Theorem (Gruber, Holzer, Kutrib 2007)

Downward closures are not computable when infinity or emptiness are undecidable.

## Theorem (Mayr 2003)

The reachability set of lossy channel systems is not computable.

Theorem (van Leeuwen 1978/Courcelle 1991)

Downward closures are computable for context-free languages.

### Theorem (van Leeuwen 1978/Courcelle 1991)

Downward closures are computable for context-free languages.

## Theorem (Abdulla, Boasson, Bouajjani, ICALP 2001)

Downward closures are computable for 0L-systems.

### Theorem (van Leeuwen 1978/Courcelle 1991)

Downward closures are computable for context-free languages.

## Theorem (Abdulla, Boasson, Bouajjani, ICALP 2001)

Downward closures are computable for 0L-systems.

## Theorem (Habermehl, Meyer, Wimmel, ICALP 2010)

Downward closures are computable for Petri net languages.

## Theorem (van Leeuwen 1978/Courcelle 1991)

Downward closures are computable for context-free languages.

## Theorem (Abdulla, Boasson, Bouajjani, ICALP 2001)

Downward closures are computable for 0L-systems.

## Theorem (Habermehl, Meyer, Wimmel, ICALP 2010)

Downward closures are computable for Petri net languages.

# Theorem (Z., STACS 2015)

Downward closures are computable for stacked counter automata.

## Theorem (van Leeuwen 1978/Courcelle 1991)

Downward closures are computable for context-free languages.

# Theorem (Abdulla, Boasson, Bouajjani, ICALP 2001)

Downward closures are computable for 0L-systems.

## Theorem (Habermehl, Meyer, Wimmel, ICALP 2010)

Downward closures are computable for Petri net languages.

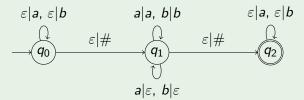
# Theorem (Z., STACS 2015)

Downward closures are computable for stacked counter automata.

- Weak form of stack nesting
- Adding Counters

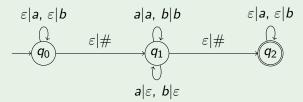
# A general approach

# Example (Transducer)



# A general approach

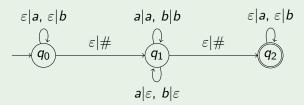
# Example (Transducer)



$$T(A) = \{(x, u \# v \# w) \mid u, v, w, x \in \{a, b\}^*, v \leq x\}$$

# A general approach

## Example (Transducer)



$$T(A) = \{(x, u \# v \# w) \mid u, v, w, x \in \{a, b\}^*, \ v \leqslant x\}$$

### **Definition**

- Rational transduction: set of pairs given by a finite state transducer.
- For rational transduction  $T \subseteq X^* \times Y^*$  and language  $L \subseteq Y^*$ , let

$$TL = \{ y \in X^* \mid \exists x \in L : (x, y) \in T \}$$

### **Definition**

 $\mathcal{C}$  is a full trio if  $LR \in \mathcal{C}$  for each  $L \in \mathcal{C}$  and rational transduction R.

#### **Definition**

 $\mathcal{C}$  is a *full trio* if  $LR \in \mathcal{C}$  for each  $L \in \mathcal{C}$  and rational transduction R.

#### **Theorem**

If  $\mathcal C$  is a full trio, then downward closures are computable for  $\mathcal C$  if and only if the simultaneous unboundedness problem is decidable:

Given A language  $L \subseteq a_1^* \cdots a_n^*$  in C

Question Is  $a_1^* \cdots a_n^*$  included in  $L \downarrow ?$ 

#### Definition

 $\mathcal{C}$  is a *full trio* if  $LR \in \mathcal{C}$  for each  $L \in \mathcal{C}$  and rational transduction R.

#### **Theorem**

If  $\mathcal C$  is a full trio, then downward closures are computable for  $\mathcal C$  if and only if the simultaneous unboundedness problem is decidable:

Given A language  $L \subseteq a_1^* \cdots a_n^*$  in C

Question Is  $a_1^* \cdots a_n^*$  included in  $L \downarrow ?$ 

Equivalently, we check whether it is true that:

for each  $k \ge 0$ , there are  $x_1, \ldots, x_n \ge k$  with  $a_1^{x_1} \cdots a_n^{x_n} \in L$ 

Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages"

Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages" ← Ideal decomposition!

Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages" ← Ideal decomposition!

### Algorithm

Suppose  $L \subseteq X^*$  is given.

Enumerate simple regular languages R.

Decide whether  $L \downarrow = R$ :

Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages" ← Ideal decomposition!

### Algorithm

Suppose  $L \subseteq X^*$  is given.

Enumerate simple regular languages R.

Decide whether  $L \downarrow = R$ :

•  $L \downarrow \subseteq R$  iff  $L \downarrow \cap (X^* \backslash R) = \emptyset \leadsto \text{emptiness}$ .

Every language L\ can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages"  $\leftarrow$  Ideal decomposition!

### Algorithm

Suppose  $L \subseteq X^*$  is given.

Enumerate simple regular languages R.

Decide whether  $L \downarrow = R$ :

•  $L \downarrow \subseteq R$  iff  $L \downarrow \cap (X^* \backslash R) = \emptyset \leadsto \text{ emptiness.}$ 

### Observation

 $L\downarrow$  is in C:

$$(x,\varepsilon)$$

$$\longrightarrow \bigcup_{i}$$
 $(x,x)$ 

Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages" ← Ideal decomposition!

### Algorithm

Suppose  $L \subseteq X^*$  is given.

Enumerate simple regular languages R.

Decide whether  $L \downarrow = R$ :

- $L \downarrow \subseteq R$  iff  $L \downarrow \cap (X^* \backslash R) = \emptyset \leadsto$  emptiness.
- $R \subseteq L \downarrow \leadsto Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^* \subseteq L \downarrow$

### Observation

 $L\downarrow$  is in C:

$$(x,\varepsilon)$$

$$(x,x)$$

• It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .

- It suffices to check whether  $Y_0^*\{x_1, \varepsilon\}Y_1^* \cdots \{x_n, \varepsilon\}Y_n^* \subseteq L \downarrow$ .
- $L\downarrow$  includes  $\{a,b,c\}^*$  if and only if it contains  $(abc)^*$ .

- It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .
- $L\downarrow$  includes  $\{a,b,c\}^*$  if and only if it contains  $(abc)^*$ .

  abc abc abc abc

• It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .

bacca

•  $L\downarrow$  includes  $\{a,b,c\}^*$  if and only if it contains  $(abc)^*$ .

abc abc abc abc abc

- It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .
- $L\downarrow$  includes  $\{a,b,c\}^*$  if and only if it contains  $(abc)^*$ .

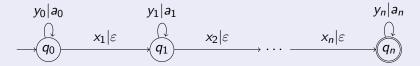
abc abc abc abc abc bacca

#### Observation

- It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .
- $L\downarrow$  includes  $\{a, b, c\}^*$  if and only if it contains  $(abc)^*$ .

abc abc abc abc bacca

### Transduction T



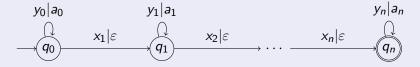
 $y_i$ : word containing each letter of  $Y_i$  once.

#### Observation

- It suffices to check whether  $Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$ .
- $L\downarrow$  includes  $\{a, b, c\}^*$  if and only if it contains  $(abc)^*$ .

abc abc abc abc bacca

#### Transduction T



 $y_i$ : word containing each letter of  $Y_i$  once. Then:

$$T(L\downarrow)\downarrow = a_0^*\cdots a_n^* \quad \text{iff} \quad Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*\subseteq L\downarrow$$

## Corollary

If C is a full trio and has effectively semilinear Parikh images, then downward closures are computable for C.

### Corollary

If C is a full trio and has effectively semilinear Parikh images, then downward closures are computable for C.

→ (multiple) context-free grammars/LCFRS, stacked counter automata

### Corollary

If C is a full trio and has effectively semilinear Parikh images, then downward closures are computable for C.

→ (multiple) context-free grammars/LCFRS, stacked counter automata

Petri net languages  $\rightsquigarrow$  boundedness with one inhibitor arc (Czerwiński, Martens 2014), decidable by (Bonnet et. al. 2012)

### Corollary

If C is a full trio and has effectively semilinear Parikh images, then downward closures are computable for C.

→ (multiple) context-free grammars/LCFRS, stacked counter automata

Petri net languages → boundedness with one inhibitor arc (Czerwiński, Martens 2014), decidable by (Bonnet et. al. 2012)

#### Theorem

Downward closures are computable for matrix languages.

Natural generalization of context-free and Petri net languages.

## Corollary

If C is a full trio and has effectively semilinear Parikh images, then downward closures are computable for C.

→ (multiple) context-free grammars/LCFRS, stacked counter automata

Petri net languages → boundedness with one inhibitor arc (Czerwiński, Martens 2014), decidable by (Bonnet et. al. 2012)

#### **Theorem**

Downward closures are computable for matrix languages.

Natural generalization of context-free and Petri net languages.

#### **Theorem**

Downward closures are computable for indexed languages.

(Generalize 0L-systems)

### **Indexed Grammars**

Idea: Each nonterminal carries a stack.

#### Indexed Grammars

Idea: Each nonterminal carries a stack.

- N, T, I are nonterminal, terminal, index alphabet,
- $S \in N$  start symbol

#### Indexed Grammars

Idea: Each nonterminal carries a stack.

- N, T, I are nonterminal, terminal, index alphabet,
- $S \in N$  start symbol
- Productions P of the form
  - $A \rightarrow Bf$ , push index  $(f \in I)$
  - $Af \rightarrow B$ , pop index  $(f \in I)$
  - $A \rightarrow uBv$ , generate terminals  $(u, v \in T^*)$
  - $A \rightarrow BC$ , split and duplicate index word
  - $A \rightarrow w$ , generate only terminals  $(w \in T^*)$

#### **Indexed Grammars**

Idea: Each nonterminal carries a stack.

- N, T, I are nonterminal, terminal, index alphabet,
- $S \in N$  start symbol
- Productions P of the form
  - $A \rightarrow Bf$ , push index  $(f \in I)$
  - $Af \rightarrow B$ , pop index  $(f \in I)$
  - $A \rightarrow uBv$ , generate terminals  $(u, v \in T^*)$
  - $A \rightarrow BC$ , split and duplicate index word
  - $A \rightarrow W$ , generate only terminals  $(w \in T^*)$

$$S \to Sf$$
,  $S \to Sg$ ,  $S \to UU$ ,  $U \to \varepsilon$ ,  $Uf \to A$ ,  $Ug \to B$ ,  $A \to Ua$ ,  $B \to Ub$ .

$$N = \{S, T, A, B\}, I = \{f, g\}, T = \{a, b\}.$$

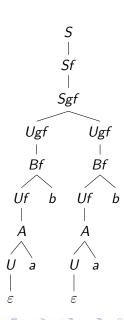
#### **Indexed Grammars**

Idea: Each nonterminal carries a stack.

- *N*, *T*, *I* are nonterminal, terminal, index alphabet,
- $S \in N$  start symbol
- Productions P of the form
  - $A \rightarrow Bf$ , push index  $(f \in I)$
  - $Af \rightarrow B$ , pop index  $(f \in I)$
  - $A \rightarrow uBv$ , generate terminals  $(u, v \in T^*)$
  - $*A \rightarrow BC$ , split and duplicate index word
  - $A \rightarrow W$ , generate only terminals  $(w \in T^*)$

$$\begin{split} S \to Sf, & S \to Sg, & S \to UU, & U \to \varepsilon, \\ Uf \to A, & Ug \to B, & A \to Ua, & B \to Ub. \end{split}$$

$$N = \{S, T, A, B\}, I = \{f, g\}, T = \{a, b\}.$$



## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \ge 0\}$ ?

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \geqslant 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \geqslant 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

• Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \geqslant 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \ge 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \geqslant 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$
  - the yields of such subtrees are unbounded in length

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \geqslant 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$
  - the yields of such subtrees are unbounded in length

## Step 1: Direct and indirect letters

For each subset  $D \subseteq \{a_1, \ldots, a_n\}$ , construct  $G_D$ 

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \ge 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

#### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$
  - the yields of such subtrees are unbounded in length

## Step 1: Direct and indirect letters

For each subset  $D \subseteq \{a_1, \ldots, a_n\}$ , construct  $G_D$ :

- for  $a_i \in D$ , instead of deriving whole  $a_i$ -subtree, generate one  $a_i$
- for  $a_i \notin D$ , derive only one of the  $a_i$ -subtrees

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \ge 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$
  - the yields of such subtrees are unbounded in length

## Step 1: Direct and indirect letters

For each subset  $D \subseteq \{a_1, \ldots, a_n\}$ , construct  $G_D$ :

- for  $a_i \in D$ , instead of deriving whole  $a_i$ -subtree, generate one  $a_i$
- for  $a_i \notin D$ , derive only one of the  $a_i$ -subtrees  $\leftarrow$  "indirect"

## No exact representation

Undeciable: Does  $L \subseteq a^*b^*$  intersect with  $\{a^nb^n \mid n \ge 0\}$ ?

Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

#### Observation

- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:
  - there is an unbounded number subtrees with yield in  $a_i^*$
  - the yields of such subtrees are unbounded in length

## Step 1: Direct and indirect letters

For each subset  $D \subseteq \{a_1, \ldots, a_n\}$ , construct  $G_D$ :

- for  $a_i \in D$ , instead of deriving whole  $a_i$ -subtree, generate one  $a_i$
- for  $a_i \notin D$ , derive only one of the  $a_i$ -subtrees  $\leftarrow$  "indirect"

Then,  $a_1^* \cdots a_n^* \subseteq L(G) \downarrow$  iff  $a_1^* \cdots a_n^* \subseteq L(G_D) \downarrow$  for some D.

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

• Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

• Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal

$$a_1 S_{(1,2)} a_2 a_2 T_{(3)} U_{(4)} a_5 V_{(5,8)} a_7 a_8 a_8 W_{(9)}$$

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$

$$a_1 S_{(1,2)} a_2 a_2 T_{(3)} U_{(4)} a_5 V_{(5,8)} a_7 a_8 a_8 W_{(9)}$$

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$
- Then the nonterminals have pairwise distinct intervals

$$a_1 S_{(1,2)} a_2 a_2 T_{(3)} U_{(4)} a_5 V_{(5,8)} a_7 a_8 a_8 W_{(9)}$$

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$
- Then the nonterminals have pairwise distinct intervals
- ⇒ Bounded number of occurrences

$$a_1 S_{(1,2)} a_2 a_2 T_{(3)} U_{(4)} a_5 V_{(5,8)} a_7 a_8 a_8 W_{(9)}$$

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$
- Then the nonterminals have pairwise distinct intervals
- ⇒ Bounded number of occurrences

Therefore: Replace these subtrees with linear ones

$$a_1 S_{(1,2)} a_2 a_2 T_{(3)} U_{(4)} a_5 V_{(5,8)} a_7 a_8 a_8 W_{(9)} \\$$

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$
- Then the nonterminals have pairwise distinct intervals
- ⇒ Bounded number of occurrences

Therefore: Replace these subtrees with linear ones

$$a_1 S_{(1,2)} a_2 a_2 \, T_{(3)} \, U_{(4)} \, a_5 \, V_{(5,8)} \, a_7 \, a_8 \, a_8 \, W_{(9)}$$

Indirect symbols:  $\{a_3, a_4, a_9\}$ 

#### Idea

Instead of unfolding  $a_i$ -subtree with root Au,  $u \in I^*$ , apply transducer to u

Only obstacle:  $a_i$ -subtrees for indirect  $a_i$ 

- Consider the interval  $a_i^* \cdots a_i^*$  for each occurring nonterminal
- Suppose: no unfolding of  $a_i$ -subtrees, indirect  $a_i$
- Then the nonterminals have pairwise distinct intervals
- ⇒ Bounded number of occurrences

Therefore: Replace these subtrees with linear ones

$$a_1 S_{(1,2)} a_2 a_2 \, T_{(3)} \, U_{(4)} \, a_5 \, V_{(5,8)} \, a_7 \, a_8 \, a_8 \, W_{(9)}$$

Indirect symbols:  $\{a_3, a_4, a_9\}$ 

#### Idea

Instead of unfolding  $a_i$ -subtree with root Au,  $u \in I^*$ , apply transducer to u However: Precise simulation not possible

For transduction  $T \subseteq NI^* \times a_i^*$ , let  $f_T, f_G \colon NI^* \to \mathbb{N} \cup \{\infty\}$  be

$$f_T(Au) = \sup\{|v| \mid (Au, v) \in T\}$$
  
$$f_G(Au) = \sup\{|v| \mid v \in a_i^*, Au \Rightarrow_G^* v\}$$

For transduction  $T \subseteq NI^* \times a_i^*$ , let  $f_T, f_G \colon NI^* \to \mathbb{N} \cup \{\infty\}$  be

$$f_T(Au) = \sup\{|v| \mid (Au, v) \in T\}$$
  
$$f_G(Au) = \sup\{|v| \mid v \in a_i^*, Au \Rightarrow_G^* v\}$$

## Proposition

For each indexed grammar G, one can construct a rational transduction T with  $f_T \approx f_G$ .

 $f \approx g$ : f is unbounded on the same subsets as g ( $\rightarrow$  regular cost functions)

For transduction  $T \subseteq NI^* \times a_i^*$ , let  $f_T, f_G \colon NI^* \to \mathbb{N} \cup \{\infty\}$  be

$$f_T(Au) = \sup\{|v| \mid (Au, v) \in T\}$$
  
$$f_G(Au) = \sup\{|v| \mid v \in a_i^*, Au \Rightarrow_G^* v\}$$

## Proposition

For each indexed grammar G, one can construct a rational transduction T with  $f_T \approx f_G$ .

 $f \approx g$ : f is unbounded on the same subsets as g ( $\rightarrow$  regular cost functions)

## Step 2: Apply transducer

• Only one nonterminal occurrence for transducer

For transduction  $T \subseteq NI^* \times a_i^*$ , let  $f_T, f_G \colon NI^* \to \mathbb{N} \cup \{\infty\}$  be

$$f_T(Au) = \sup\{|v| \mid (Au, v) \in T\}$$
  
$$f_G(Au) = \sup\{|v| \mid v \in a_i^*, Au \Rightarrow_G^* v\}$$

## Proposition

For each indexed grammar G, one can construct a rational transduction T with  $f_T \approx f_G$ .

 $f \approx g$ : f is unbounded on the same subsets as g ( $\rightarrow$  regular cost functions)

## Step 2: Apply transducer

- Only one nonterminal occurrence for transducer
- ⇒ Bound on nonterminal occurrences, "breadth-bounded"

### Remaining problem

- ullet Given: Breadth-bounded indexed grammar  $G,\ L(G)\subseteq a_1^*\cdots a_n^*$
- Is  $a_1^* \cdots a_n^*$  included in  $L(G) \downarrow$ ?

## Remaining problem

- Given: Breadth-bounded indexed grammar G,  $L(G) \subseteq a_1^* \cdots a_n^*$
- Is  $a_1^* \cdots a_n^*$  included in  $L(G) \downarrow$ ?

Step 3:

## Proposition

Breadth-bounded indexed grammars have effectively semilinear Parikh images.

Thank you for your attention!