# On Boolean closed full trios and rational Kripke frames 

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$$

## Closure properties

## Common closure properties

- Homomorphism: $h: \Sigma^{*} \rightarrow \Gamma^{*}$, replaces letters by words
- Inverse homomorphism: $\left\{w \in \Sigma^{*} \mid h(w) \in L\right\}$
- Intersection with regular sets.
- Boolean operations: union, intersection, complementation.


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Language class $\mathcal{C}$ is a full trio, if it is closed under the first three above.

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## Definition

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## Examples

REG, CF, LIN, Petri net languages, blind multicounter languages, classes of various grammar types, etc.

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- Automatic structures beyond regular languages
- Complementation closure for union closed full trios
$\operatorname{RE}(\mathcal{C})$ : Accepted by Turing machine with oracle $L \in \mathcal{C}$.


## Definition

Arithmetical hierarchy:

$$
\Sigma_{0}=\operatorname{REC}, \quad \Sigma_{n+1}=\operatorname{RE}\left(\Sigma_{n}\right) \text { for } n \geqslant 0, \quad \mathrm{AH}=\bigcup_{n \geqslant 0} \Sigma_{n} \text {. }
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Relative arithmetical hierarchy:

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\Sigma_{0}(L)=\operatorname{REC}(L), \quad \Sigma_{n+1}(L)=\operatorname{RE}\left(\Sigma_{n}(L)\right) \text { for } n \geqslant 0, \quad \mathrm{AH}(L)=\bigcup_{n \geqslant 0} \Sigma_{n}(L) .
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$\Sigma_{0}(L)=\operatorname{REC}(L), \quad \Sigma_{n+1}(L)=\operatorname{RE}\left(\Sigma_{n}(L)\right)$ for $n \geqslant 0, \quad \mathrm{AH}(L)=\bigcup_{n \geqslant 0} \Sigma_{n}(L)$.

Theorem
Let $\mathcal{T}$ be a Boolean closed full trio. If $\mathcal{T}$ contains any non-regular language $L$, then $\mathcal{T}$ includes $\mathrm{AH}(L)$.

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$T(A)=\left\{(x, u \# v \# w) \mid u, v, w, x \in\{0,1\}^{*}, v \leq x\right\}$

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$$
\begin{array}{ccc}
\lambda|0, \lambda| 1 & 0|0,1| 1 & \lambda|0, \lambda| 1 \\
q_{0} & \lambda \mid \# & \left(q_{1}\right) \\
0|\lambda, 1| \lambda
\end{array}
$$

$$
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## Definition

- Rational transduction: set of pairs given by a finite state transducer.
- For rational transduction $T \subseteq X^{*} \times Y^{*}$ and language $L \subseteq Y^{*}$, let

$$
T L=\left\{y \in X^{*} \mid \exists x \in L:(x, y) \in T\right\}
$$

Theorem (Nivat 1968)
A language class is a full trio iff it is closed under rational transductions.

## Proof I

Let $\Delta=\{+,-, z\}$ : increment, decrement, and zero test.

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Crucial step: If $\mathcal{T}$ contains non-regular $L$, then $\mathcal{T}$ contains $C$.

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## Definition <br> $u \equiv{ }_{L} v$ : for each $w \in X^{*}, u w \in L$ iff $v w \in L$.

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```
Definition
u\equivLv: for each w \in X*,uw \inL iff vw \inL.
```

Theorem (Myhill-Nerode)
$L$ is regular if and only if $\equiv_{L}$ has finite index.

## Proof II

Idea: In order to obtain $C$, construct $\hat{C}_{L}$ :

## Definition

Let $\hat{C}_{L}$ be the set of all words

$$
v_{0} \delta_{1} v_{1} \cdots \delta_{m} v_{m} \# u_{0} \# \cdots u_{n} \#
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with $\delta_{i} \in \Delta, v_{i} \in X^{*}, u_{j} \in X^{*}$

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- if $\delta_{i}=+$, then $v_{i-1} \equiv \iota u_{j-1}, v_{i} \equiv \iota u_{j}$


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- if $\delta_{i}=+$, then $v_{i-1} \equiv \iota u_{j-1}, v_{i} \equiv \iota u_{j}$
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- if $\delta_{i}=+$, then $v_{i-1} \equiv{ }_{L} u_{j-1}, v_{i} \equiv_{L} u_{j}$
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- if $\delta_{i}=z$, then $v_{i-1} \equiv \sum_{L} v_{i} u_{j} \equiv L u_{0}$.


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- if $\delta_{i}=z$, then $v_{i-1} \equiv\left\llcorner v_{i} \equiv\left\llcorner u_{j} \equiv\left\llcorner u_{0}\right.\right.\right.$.


## Observation

If $L$ is non-regular, $C$ can be obtained from $\hat{C}_{L}$.

## Proof III

$$
\begin{aligned}
& W_{1}=\left\{u \# v \# w \mid u, v, w \in X^{*}, u w \in L\right\}, \\
& W_{2}=\left\{u \# v \# w \mid u, v, w \in X^{*}, v w \in L\right\} .
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W^{\prime}=\left\{u \# v \# w \mid u, v, w \in X^{*},(u w \in L, v w \notin L) \text { or }(u w \notin L, v w \in L)\right\}
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W=\left\{u \# v \mid u, v \in X^{*}, u \not \equiv L v\right\}=\left\{u \# v \mid u \# v \# w \in W^{\prime} \text { for some } w \in X^{*}\right\}
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S=\left\{u_{0} \# u_{1} \# \cdots u_{n} \# \mid u_{i} \not \equiv L u_{j} \text { for all } i \neq j\right\}
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& S=\left\{u_{0} \# u_{1} \# \cdots u_{n} \# \mid u_{i} \not \equiv L u_{j} \text { for all } i \neq j\right\} \\
& =\left(X^{*} \#\right)^{*} \backslash\left\{r u \# s v \# t \mid r, s, t \in\left(X^{*} \#\right)^{*}, u \# v \in P\right\} \text {. }
\end{aligned}
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## Proof IV

Let $M$ (matching) be the set of all words $v_{1} \delta v_{2} \# u_{1} \# u_{2}$, $v_{1}, v_{2}, u_{1}, u_{2} \in X^{*}$, with

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$$
\begin{aligned}
M= & \left\{v_{1}+v_{2} \# u_{1} \# u_{2} \mid v_{1} \# u_{1} \in P, v_{2} \# u_{2} \in P\right\} \\
& \cup\left\{v_{1}-v_{2} \# u_{1} \# u_{2} \mid v_{1} \# u_{2} \in P, v_{2} \# u_{1} \in P\right\} \\
& \cup\left\{v_{1} z v_{2} \# u_{1} \# u_{2} \mid v_{1} \# v_{2} \in P, v_{1} \# u_{1} \in P, u_{2} \in X^{*}\right\}
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Let $E$ (error) be the set of words $v_{1} \delta v_{2} \# u_{0} \# \cdots u_{n} \#$ such that for every $1 \leqslant j \leqslant n$, we have $v_{1} \delta v_{2} \# u_{j-1} \# u_{j} \notin M$ or we have $\delta=z$ and $v_{1} \not \equiv L u_{0}$.

## Proof IV

Let $M$ (matching) be the set of all words $v_{1} \delta v_{2} \# u_{1} \# u_{2}$,
$v_{1}, v_{2}, u_{1}, u_{2} \in X^{*}$, with

- if $\delta=+$, then $v_{1} \equiv\left\llcorner u_{1}\right.$ and $v_{2} \equiv \sum_{L} u_{2}$,
- if $\delta=-$, then $v_{1} \equiv{ }_{L} u_{2}$ and $v_{2} \equiv_{L} u_{1}$, and
- if $\delta=z$, then $v_{1} \equiv L v_{2} \equiv L u_{1}$.

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\begin{aligned}
M= & \left\{v_{1}+v_{2} \# u_{1} \# u_{2} \mid v_{1} \# u_{1} \in P, v_{2} \# u_{2} \in P\right\} \\
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& \cup\left\{v_{1} z v_{2} \# u_{1} \# u_{2} \mid v_{1} \# v_{2} \in P, v_{1} \# u_{1} \in P, u_{2} \in X^{*}\right\}
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$$
E^{\prime}=\left\{v_{1} \delta v_{2} \# r u_{1} \# u_{2} \# s \mid v_{1} \delta v_{2} \# u_{1} \# u_{2} \in M, r, s \in\left(X^{*} \#\right)^{*}\right\}
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$$

$$
E=\left[\left(X^{*} \Delta X^{*} \#\left(X^{*} \#\right)^{*} \backslash E^{\prime}\right] \cup\left\{v_{1} z v_{2} \# u_{0} r \mid v_{1} \not \equiv L u_{0}, r \in\left(X^{*} \#\right)^{*}\right\}\right.
$$

## Proof V

Let $N$ (no error) be the set of words $v_{0} \delta_{1} v_{1} \cdots \delta_{m} v_{m} \# u_{0} \# \cdots u_{n} \#$ such that for every $1 \leqslant i \leqslant m$, there is a $1 \leqslant j \leqslant n$ with $v_{i-1} \delta_{i} v_{i} \# u_{j-1} \# u_{j} \in M$ and if $\delta_{i}=z$, then $v_{i-1} \equiv \equiv_{L} u_{0}$.

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$N^{\prime}=\left\{w \in\left(X^{*} \Delta\right)^{*} v_{1} \delta v_{2}\left(\Delta X^{*}\right)^{*} \# u_{0} \# \cdots u_{n} \# \mid v_{1} \delta v_{2} \# u_{0} \# \cdots u_{n} \# \in E\right\}$,

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$$
\begin{aligned}
N^{\prime} & =\left\{w \in\left(X^{*} \Delta\right)^{*} v_{1} \delta v_{2}\left(\Delta X^{*}\right)^{*} \# u_{0} \# \cdots u_{n} \# \mid v_{1} \delta v_{2} \# u_{0} \# \cdots u_{n} \# \in E\right\} \\
N & =\left(X^{*} \Delta\right)^{+} X^{*} \#\left(X^{*} \#\right)^{*} \backslash N^{\prime}
\end{aligned}
$$

Now we have

$$
\hat{C}_{L}=N \cap\left(X^{*} \Delta\right)^{*} X^{*} \# S
$$

Hence, $C \in \mathcal{T}$.

## Proof VI

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For $\mathrm{AH}(L) \subseteq \mathcal{T}$ : show that $K \in \mathcal{T}$ implies $\operatorname{RE}(K) \subseteq \mathcal{T}$ (as above).


## Corollary

Let $L$ be non-regular. The smallest Boolean closed full trio containing $L$ is $\mathrm{AH}(L)$.

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Let $\mathcal{T}$ be generated by $L$.

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Let $\mathcal{T}$ be generated by $L$. It consists of $R L$ for rational transductions $R$.

```
Corollary
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Let $\mathcal{T}$ be generated by $L$. It consists of $R L$ for rational transductions $R$. Hence, $\mathcal{T}$ is union-closed and $\mathcal{T} \subseteq \operatorname{RE}(L) \subsetneq \mathrm{AH}(L)$.


#### Abstract

Corollary Let $L$ be non-regular. The smallest Boolean closed full trio containing $L$ is $\mathrm{AH}(L)$.


## Corollary

Other than the regular languages, no full trio $\mathcal{T} \subseteq \mathrm{RE}$ is Boolean closed.

## Corollary

Other than the regular languages, no principal full trio is complementation closed.

## Proof.

Let $\mathcal{T}$ be generated by $L$. It consists of $R L$ for rational transductions $R$. Hence, $\mathcal{T}$ is union-closed and $\mathcal{T} \subseteq \operatorname{RE}(L) \subsetneq \mathrm{AH}(L)$. If $\mathcal{T}$ were complementation closed, it would contain $\mathrm{AH}(L)$, contradiction!

## Application: Valence automata

## Definition

A monoid is a set $M$ together with an associative operation and a neutral element.

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## Valence Automata

Valence automaton over $M$ :

- Finite automaton with edges $p \xrightarrow{w \mid m} q, w \in \Sigma^{*}, m \in M$.
- Run $q_{0} \xrightarrow{w_{1} \mid m_{1}} q_{1} \xrightarrow{w_{2} \mid m_{2}} \cdots \xrightarrow{w_{n} \mid m_{n}} q_{n}$ is accepting for $w_{1} \cdots w_{n}$ if $q_{0}$ is the initial state,
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$$
m_{1} \cdots m_{n}=1 .
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Language class
$\operatorname{VA}(M)$ languages accepted by valence automata over $M$.

## Corollary

Let $M$ be a finitely generated monoid. The following are equivalent:
(1) $\operatorname{VA}(M)$ is complementation closed.
(2) $\operatorname{VA}(M)=$ REG .
(3) $M$ has finitely many right-invertible elements.

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If $M$ is finitely generated, $\operatorname{VA}(M)$ is a principal full trio.

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(1) $\operatorname{VA}(M)$ is complementation closed.
(2) $\operatorname{VA}(M)=$ REG
(3) $M$ has finitely many right-invertible elements.

## Proof.

If $M$ is finitely generated, $\operatorname{VA}(M)$ is a principal full trio. Equivalence of 2 and 3 has been shown by Render (2010) and Z. (2011).

## Application: Rational Kripke Frames

## Improvement

In order to construct languages over $\{0,1\}$, three fixed rational transductions suffice.

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## Theorem

Let $X=\{0,1\}$. There is a Kripke frame with

- $X^{*}$ as its set of worlds and
- rational transductions $R, S, T \subseteq X^{*} \times X^{*}$ as modalities
such that for any non-regular $L$, in the Kripke structure $\mathcal{K}=\left(X^{*}, R, S, T, L\right)$, for each $K \in \mathrm{AH}(L)$, there is a $\varphi$ with $\llbracket \varphi \rrbracket_{\mathcal{K}}=K$.


## Open problems

- Can we reduce the number of transductions?
- What about other classes of transductions?

What classes admit encoding of first-order theory and decidability of emptiness?
For what classes do we get undecidability beyond REG?

