

Rational subsets and submonoids of wreath products[☆]

Markus Lohrey^a, Benjamin Steinberg^{b,1}, Georg Zetsche^c

^a*Universität Siegen, Department für Elektrotechnik und Informatik*

^b*City College of New York, Department of Mathematics*

^c*Technische Universität Kaiserslautern, Fachbereich Informatik*

Abstract

It is shown that membership in rational subsets of wreath products $H \wr V$ with H a finite group and V a virtually free group is decidable. On the other hand, it is shown that there exists a fixed finitely generated submonoid in the wreath product $\mathbb{Z} \wr \mathbb{Z}$ with an undecidable membership problem.

1. Introduction

The study of algorithmic problems in group theory has a long tradition. Dehn, in his seminal paper from 1911 [8], introduced the word problem (Does a given word over the generators represent the identity?), the conjugacy problem (Are two given group elements conjugate?) and the isomorphism problem (Are two given finitely presented groups isomorphic?), see [28] for general references in combinatorial group theory. Starting with the work of Novikov and Boone from the 1950's, all three problems were shown to be undecidable for finitely presented groups in general. A generalization of the word problem is the *subgroup membership problem* (also known as the *generalized word problem*) for finitely generated groups: Given group elements g, g_1, \dots, g_n , does g belong to the subgroup generated by g_1, \dots, g_n ? Explicitly, this problem was introduced by Mihailova in 1958, although Nielsen had already presented an algorithm for the subgroup membership problem for free groups in his paper from 1921 [31].

Motivated partly by automata theory, the subgroup membership problem was further generalized to the *rational subset membership problem*. Assume

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that the group G is finitely generated by the set X (where $a \in X$ if and only if $a^{-1} \in X$). A finite automaton A with transitions labeled by elements of X defines a subset $L(A) \subseteq G$ in the natural way; such subsets are the rational subsets of G . The rational subset membership problem asks whether a given group element belongs to $L(A)$ for a given finite automaton (in fact, this problem makes sense for any finitely generated monoid). The notion of a rational subset of a monoid can be traced back to the work of Eilenberg and Schützenberger from 1969 [11]. Other early references are [1, 14]. Rational subsets of groups also found applications for the solution of word equations (here, quite often the term rational constraint is used) [9, 23]. In automata theory, rational subsets are tightly related to valence automata: For any group G , the emptiness problem for valence automata over G (which are also known as G -automata) is decidable if and only if G has a decidable rational subset membership problem. See [12, 19, 20] for details on valence automata and G -automata.

For free groups, Benois [2] proved that the rational subset membership problem is decidable using a classical automaton saturation procedure (which yields a polynomial time algorithm). For commutative groups, the rational subset membership can be solved using integer programming. Further (un)decidability results on the rational subset membership problem can be found in [24] for right-angled Artin groups, in [32] for nilpotent groups, and in [26] for metabelian groups. In general, groups with a decidable rational subset membership problem seem to be rare. In [25] it was shown that if the group G has at least two ends, then the rational subset membership problem for G is decidable if and only if the submonoid membership problem for G (Does a given element of G belong to a given finitely generated submonoid of G ?) is decidable.

In this paper, we investigate the rational subset membership problem for wreath products. The wreath product is a fundamental operation in group theory. To define the wreath product $H \wr G$ of two groups G and H , one first takes the direct sum $K = \bigoplus_{g \in G} H$ of copies of H , one for each element of G . An element $g \in G$ acts on K by permuting the copies of H according to the left action of g on G . The corresponding semidirect product $K \rtimes G$ is the wreath product $H \wr G$.

In contrast to the word problem, decidability of the rational subset membership problem is not preserved under wreath products. For instance, in [26] it was shown that for every non-trivial group H , the rational subset membership problem for $H \wr (\mathbb{Z} \times \mathbb{Z})$ is undecidable. The proof uses an encoding of a

tiling problem, which uses the grid structure of the Cayley graph of $\mathbb{Z} \times \mathbb{Z}$.

In this paper, we prove the following two new results concerning the rational subset membership problem and the submonoid membership problem for wreath products:

- (i) The submonoid membership problem is undecidable for $\mathbb{Z} \wr \mathbb{Z}$. The wreath product $\mathbb{Z} \wr \mathbb{Z}$ is one of the simplest examples of a finitely generated group that is not finitely presented, see [6, 7] for further results showing the importance of $\mathbb{Z} \wr \mathbb{Z}$.
- (ii) For every finite group H and every virtually free group² V , the group $H \wr V$ has a decidable rational subset membership problem; this includes for instance the famous lamplighter group $\mathbb{Z}_2 \wr \mathbb{Z}$.

For the proof of (i) we encode the acceptance problem for a 2-counter machine (Minsky machine [29]) into the submonoid membership problem for $\mathbb{Z} \wr \mathbb{Z}$. One should remark that $\mathbb{Z} \wr \mathbb{Z}$ is a finitely generated metabelian group and hence has a decidable subgroup membership problem [33, 34]. For the proof of (ii), an automaton saturation procedure is used. The termination of the process is guaranteed by a well-quasi-order (wqo) that refines the classical subsequence wqo considered by Higman [17].

Wqo theory has also been applied successfully for the verification of infinite state systems. This research led to the notion of well-structured transition systems [13]. Applications in formal language theory are the decidability of the membership problem for leftist grammars [30] and Kunc's proof of the regularity of the solutions of certain language equations [21]. A disadvantage of using wqo theory is that the algorithms it yields are not accompanied by complexity bounds. The membership problem for leftist grammars [18] and, in the context of well-structured transition systems, several natural reachability problems [5, 36] (e.g. for lossy channel systems) have even been shown not to be primitive recursive. The complexity status for the rational subset membership problem for wreath products $H \wr V$ (H finite, V virtually free) thus remains open. Actually, we do not even know whether the rational subset membership problem for the lamplighter group $\mathbb{Z}_2 \wr \mathbb{Z}$ is primitive recursive.

As mentioned earlier, the rational subset membership problem is undecidable for every wreath product $H \wr (\mathbb{Z} \times \mathbb{Z})$, where H is a non-trivial group.

²Recall that a group is virtually free if it has a free subgroup of finite index.

We conjecture that this can be generalized to the following result: For every non-trivial group H and every non-virtually free group G , the rational subset membership problem for $H \wr G$ is undecidable. The reason is that the undecidability proof for $H \wr (\mathbb{Z} \times \mathbb{Z})$ [26] only uses the grid-like structure of the Cayley graph of $\mathbb{Z} \times \mathbb{Z}$. In [22] it was shown that the Cayley graph of a group G has bounded tree width if and only if the group is virtually free. Hence, if G is not virtually free, then the Cayley-graph of G has unbounded tree width, which means that finite grids of arbitrary size appear as minors in the Cayley-graph of G . One might therefore hope to again reduce a tiling problem to the rational subset membership problem for $H \wr G$ (for H non-trivial and G not virtually free).

Our decidability result for the rational subset membership problem for wreath products $H \wr V$ with H finite and V virtually free can be also interpreted in terms of tree automata with additional data values. Consider a tree walking automaton operating on infinite rooted trees. Every tree node contains an additional data value from a finite group such that all but finitely many nodes contain the group identity. Besides navigating in the tree, the tree automaton can multiply (on the right) the group element from the current tree node with another group element (specified by the transition). The automaton cannot read the group element from the current node. Our decidability result basically says that reachability for this automaton model is decidable.

2. Rational subsets of groups

Let G be a finitely generated group and X a finite symmetric generating set for G (symmetric means that X is closed under taking inverses). For a subset $B \subseteq G$ we denote with B^* the *submonoid* of G generated by B . The subgroup generated by B is $\langle B \rangle$. The set of *rational subsets* of G is the smallest set that (i) contains all finite subsets of G and (ii) that is closed under union, product, and $*$. Alternatively, rational subsets can be represented by finite automata. Let $A = (Q, G, E, q_0, Q_F)$ be a finite automaton, where transitions are labeled with elements of G : Q is the finite set of states, $q_0 \in Q$ is the initial state, $Q_F \subseteq Q$ is the set of final states, and $E \subseteq Q \times G \times Q$ is a finite set of transitions. Every transition label $g \in G$ can be represented by a finite word over the generating set X . In this way, A becomes a finite object. The subset $L(A) \subseteq G$ accepted by A consists of all group elements $g_1 g_2 g_3 \cdots g_n$ such that there exists a sequence of transitions

$(q_0, g_1, q_1), (q_1, g_2, q_2), (q_2, g_3, q_3), \dots, (q_{n-1}, g_n, q_n) \in E$ with $q_n \in Q_F$. The *rational subset membership problem* for G is the following decision problem:

INPUT: A finite automaton A as above and an element $g \in G$.

QUESTION: Does $g \in L(A)$ hold?

Since $g \in L(A)$ if and only if $1_G \in L(A)g^{-1}$, and $L(A)g^{-1}$ is rational, too, the rational subset membership problem for G is equivalent to the question of deciding whether a given automaton accepts the group identity.

The *submonoid membership problem* for G is the following decision problem:

INPUT: Elements $g, g_1, \dots, g_n \in G$.

QUESTION: Does $g \in \{g_1, \dots, g_n\}^*$ hold?

Clearly, decidability of the rational subset membership problem for G implies decidability of the submonoid membership problem for G . Moreover, the latter generalizes the classical subgroup membership problem for G (also known as the generalized word problem), where the input is the same as for the submonoid membership problem for G but it is asked whether $g \in \langle g_1, \dots, g_n \rangle$ holds.

In our undecidability results in Section 5, we will actually consider the non-uniform variant of the submonoid membership problem, where the submonoid is fixed, i.e., not part of the input.

3. Wreath products

Let G and H be groups. Consider the direct sum

$$K = \bigoplus_{g \in G} H_g,$$

where H_g is a copy of H . We view K as the set

$$H^{(G)} = \{\zeta \in H^G \mid \zeta^{-1}(H \setminus \{1_H\}) \text{ is finite}\}$$

of all mappings from G to H with finite support together with pointwise multiplication as the group operation. The group G has a natural left action on $H^{(G)}$ given by

$$g\zeta(a) = \zeta(g^{-1}a)$$

where $\zeta \in H^{(G)}$ and $g, a \in G$. The corresponding semidirect product $H^{(G)} \rtimes G$ is the wreath product $H \wr G$. In other words:

- Elements of $H \wr G$ are pairs (ζ, g) , where $\zeta \in H^{(G)}$ and $g \in G$.
- The multiplication in $H \wr G$ is defined as follows: Let $(\zeta_1, g_1), (\zeta_2, g_2) \in H \wr G$. Then $(\zeta_1, g_1)(\zeta_2, g_2) = (\zeta, g_1g_2)$, where $\zeta(a) = \zeta_1(a)\zeta_2(g_1^{-1}a)$.

The following intuition might be helpful: An element $(\zeta, g) \in H \wr G$ can be thought of as a finite multiset of elements of $H \setminus \{1_H\}$ that are sitting at certain elements of G (the mapping ζ) together with the distinguished element $g \in G$, which can be thought of as a cursor moving in G . If we want to compute the product $(\zeta_1, g_1)(\zeta_2, g_2)$, we do this as follows: First, we shift the finite collection of H -elements that corresponds to the mapping ζ_2 by g_1 : If the element $h \in H \setminus \{1_H\}$ is sitting at $a \in G$ (i.e., $\zeta_2(a) = h$), then we remove h from a and put it to the new location $g_1a \in H$. This new collection corresponds to the mapping $\zeta'_2: a \mapsto \zeta_2(g_1^{-1}a)$. After this shift, we multiply the two collections of H -elements pointwise: If in $a \in G$ the elements h_1 and h_2 are sitting (i.e., $\zeta_1(a) = h_1$ and $\zeta'_2(a) = h_2$), then we put the product h_1h_2 into the location a . Finally, the new distinguished G -element (the new cursor position) becomes g_1g_2 .

By identifying $\zeta \in H^{(G)}$ with $(\zeta, 1_G) \in H \wr G$ and $g \in G$ with $(1_{H^{(G)}}, g)$, we regard $H^{(G)}$ and G as subgroups of $H \wr G$. Hence, for $\zeta \in H^{(G)}$ and $g \in G$, we have $\zeta g = (\zeta, 1_G)(1_{H^{(G)}}, g) = (\zeta, g)$.

If H (resp. G) is generated by the set A (resp. B) with $A \cap B = \emptyset$, then $H \wr G$ is generated by the set $C = \{(\zeta_a, 1_G) \mid a \in A\} \cup \{(\zeta_{1_H}, b) \mid b \in B\}$, where for $h \in H$, the mapping $\zeta_h: G \rightarrow H$ is defined by $\zeta_h(1_G) = h$ and $\zeta_h(x) = 1_H$ for $x \in G \setminus \{1_G\}$. This generating set C can be identified with $A \cup B$.

Proposition 1. *Let K be a subgroup of G of finite index m and let H be a group. Then $H^m \wr K$ is isomorphic to a subgroup of index m in $H \wr G$.*

Proof. Let T be a set of right coset representatives for G/K ; it has m elements. The action of G on $H^{(G)}$ restricts to an action of K on $H^{(G)}$ and so $H^{(G)} \rtimes K$ is a subgroup of $H \wr G$. There is a K -equivariant³ group isomorphism $\alpha: H^{(G)} \rightarrow (H^T)^{(K)}$ given by $[\alpha(\zeta)(k)](t) = \zeta(kt)$, where $\zeta \in H^{(G)}$, $k \in K$, and $t \in T$. This α is indeed bijective; the inverse α^{-1} is given by $[\alpha^{-1}(\zeta)](kt) = [\zeta(k)](t)$ for $\zeta \in (H^T)^{(K)}$, $k \in K$, and $t \in T$ (which has finite

³A K -equivariant group isomorphism $\alpha: H^{(G)} \rightarrow (H^T)^{(K)}$ is an isomorphism that commutes with the action of K : $k\alpha(\zeta) = \alpha(k\zeta)$.

support because T is finite and ζ has finite support). That α is K -equivariant follows from

$$[k\alpha(\zeta)(k')](t) = [\alpha(\zeta)(k^{-1}k')](t) = \zeta(k^{-1}k't) = [k\zeta](k't) = [\alpha(k\zeta)(k')](t).$$

It follows that $H^m \wr K \cong (H^T)^{(K)} \rtimes K \cong H^{(G)} \rtimes K$.

It thus remains to prove that $H^{(G)} \rtimes K$ has index m in $H \wr G$. Indeed, let $e \in H^{(G)}$ be the map sending all of G to the identity of H . Then the elements of the form (e, t) with $t \in T$ form a set of right coset representatives of $H^{(G)} \rtimes K$ in $H \wr G$. Indeed, it is easy to see that these elements are in distinct cosets. If $g = kt$ with $k \in K$ and $t \in T$, then $(\zeta, g) = (\zeta, k)(e, t)$, which is in the coset of (e, t) . \square

4. Decidability

We show that the rational subset membership problem is decidable for groups $G = H \wr V$, where H is finite and V is virtually free. First, we will show that the rational subset membership problem for $G = H \wr F_2$, where F_2 is the free group generated by a and b , is decidable. For this we make use of a particular well-quasi-order.

4.1. A well-quasi-order

Recall that a *well-quasi-order* on a set A is a reflexive and transitive relation \preceq such that for every infinite sequence a_1, a_2, a_3, \dots with $a_i \in A$ there exist $i < j$ such that $a_i \preceq a_j$. In this paper, \preceq will always be antisymmetric as well; so \preceq will be a well partial order.

For a finite alphabet X and two words $u, v \in X^*$, we write $u \preceq v$ if there exist $v_0, \dots, v_n \in X^*$, $u_1, \dots, u_n \in X$ such that $v = v_0 u_1 v_1 \cdots u_n v_n$ and $u = u_1 \cdots u_n$. The following theorem was shown by Higman [17] (and independently Haines [16]).

Theorem 2 (Higman's Lemma). *The order \preceq on X^* is a well-quasi-order.*

Let G be a group. For a monoid morphism $\alpha: X^* \rightarrow G$ and $u, v \in X^*$ let $u \preceq_\alpha v$ if there is a factorization $v = v_0 u_1 v_1 \cdots u_n v_n$ with $v_0, \dots, v_n \in X^*$, $u_1, \dots, u_n \in X$, $u = u_1 \cdots u_n$, and $\alpha(v_i) = 1$ for $0 \leq i \leq n$. It is easy to see that \preceq_α is indeed a partial order on X^* . Furthermore, let \preceq_G be the partial order on X^* with $u \preceq_G v$ if $v = v_0 u_1 v_1 \cdots u_n v_n$ for some $v_0, \dots, v_n \in X^*$, $u_1, \dots, u_n \in X$, and $u = u_1 \cdots u_n$ such that $\alpha(v_i) = 1$ for every morphism

$\alpha: X^* \rightarrow G$ and $0 \leq i \leq n$. Note that if G is finite, there are only finitely many morphisms $\alpha: X^* \rightarrow G$. The upward closure $U \subseteq X^*$ of $\{\varepsilon\}$ with respect to \preceq_G is the intersection of all preimages $\alpha^{-1}(1)$ for all morphisms $\alpha: X^* \rightarrow G$, which is therefore regular if G is finite (and a finite automaton for this upward closure can be constructed from X and G). Since for $w = w_1 \cdots w_n$, $w_1, \dots, w_n \in X$, the upward closure of $\{w\}$ equals $Uw_1 \cdots Uw_nU$, we can also construct a finite automaton for the upward closure of any given singleton provided that G is finite. In the latter case, we can also show that \preceq_G is a well-quasi-order. As the authors learned after the publication of the preliminary version [27] of this work, for finite G , the order \preceq_α had already been shown to be a well-quasi-order by Cano, Guaiana, and Pin [4], for which they employed a criterion by Bucher, Ehrenfeucht, and Haussler [3] for an order to be a well-quasi-order. To make the paper self-contained, we provide a proof for this fact below.

Lemma 3. *Let G be a group and X be an alphabet with $|X| = n$. Then the following statements are equivalent:*

(i) (X^*, \preceq_G) is a well-quasi-order.

(ii) There is a $k \in \mathbb{N}$ with $|\langle g_1, \dots, g_n \rangle| \leq k$ for all $g_1, \dots, g_n \in G$.

Proof. Suppose (ii) does not hold. Then there is a sequence of morphisms $\alpha_1, \alpha_2, \dots: X^* \rightarrow G$ such that $|\langle \alpha_i(X) \rangle| \geq i$ for each $i \geq 1$. This also means that $|\alpha_i(X^*)| \geq i$, because $|\alpha_i(X^*)| < i$ would imply that $\alpha_i(X^*)$ is a group and hence equals $\langle \alpha_i(X) \rangle$. We inductively define a sequence of words $w_1, w_2, \dots \in X^*$. Choose $w_1 = \varepsilon$ and suppose w_1, \dots, w_i have been defined. Since $|\alpha_{i+1}(X^*)| \geq i + 1$, we can choose $w_{i+1} \in X^*$ to be a word such that $\alpha_{i+1}(w_{i+1})$ is outside of $\{\alpha_{i+1}(w_1), \dots, \alpha_{i+1}(w_i)\}$. We claim that the words w_1, w_2, \dots are pairwise incomparable with respect to \preceq_G . Observe that $u \preceq_G v$ implies $\alpha(u) = \alpha(v)$ for any morphism $\alpha: X^* \rightarrow G$. Since for any $i, j \in \mathbb{N}$, $i < j$, the construction guarantees $\alpha_j(w_j) \neq \alpha_j(w_i)$, the words are pairwise incomparable.

Suppose (ii) does hold. First, we claim that there is a finite group H such that \preceq_G coincides with \preceq_H . By (ii) there are only finitely many non-isomorphic groups that appear as $\langle \alpha(X) \rangle$ for morphisms $\alpha: X^* \rightarrow G$, say H_1, \dots, H_m , and each of them is finite. For $H = H_1 \times \cdots \times H_m$, we have

$$\bigcap_{\alpha: X^* \rightarrow G} \ker(\alpha) = \bigcap_{\alpha: X^* \rightarrow H} \ker(\alpha).$$

Hence, \preceq_G coincides with \preceq_H . There are only finitely many morphisms $\alpha: X^* \rightarrow H$, say $\alpha_1, \dots, \alpha_\ell$. If $\beta: X^* \rightarrow H^\ell$ is the morphism with $\beta(w) = (\alpha_1(w), \dots, \alpha_\ell(w))$, then

$$\bigcap_{\alpha: X^* \rightarrow H} \ker(\alpha) = \ker(\beta).$$

Thus, \preceq_H coincides with \preceq_β . Therefore, it suffices to show that \preceq_β is a well-quasi-order.

Let $w_1, w_2, \dots \in X^*$ be an infinite sequence of words. Since H^ℓ is finite, we can assume that all the w_i have the same image under β ; otherwise, choose an infinite subsequence on which β is constant. Consider the alphabet $Y = X \times H^\ell$. For every $w \in X^*$, $w = a_1 \cdots a_r$, let $\bar{w} \in Y^*$ be the word

$$\bar{w} = (a_1, \beta(a_1))(a_2, \beta(a_1 a_2)) \cdots (a_r, \beta(a_1 \cdots a_r)). \quad (1)$$

Applying Higman's Lemma to the sequence $\bar{w}_1, \bar{w}_2, \dots$ yields indices $i < j$ such that $\bar{w}_i \preceq \bar{w}_j$. This means $\bar{w}_i = u'_1 \cdots u'_r$, $\bar{w}_j = v'_0 u'_1 v'_1 \cdots u'_r v'_r$ for some $u'_1, \dots, u'_r \in Y$, $v'_0, \dots, v'_r \in Y^*$. By definition of \bar{w}_i , we have $u'_s = (u_s, h_s)$ for $1 \leq s \leq r$, where $h_s = \beta(u_1 \cdots u_s)$ and $w_i = u_1 \cdots u_r$. Let $\pi_1: Y^* \rightarrow X^*$ be the morphism extending the projection onto the first component, and let $v_s = \pi_1(v'_s)$ for $0 \leq s \leq r$. Then clearly $w_j = v_0 u_1 v_1 \cdots u_r v_r$. We claim that $\beta(v_s) = 1$ for $0 \leq s \leq r$, from which $w_i \preceq_\beta w_j$ and hence the lemma follows. Since \bar{w}_j is also obtained according to (1), we have

$$\beta(u_1 \cdots u_{s+1}) = h_{s+1} = \beta(v_0 u_1 v_1 \cdots u_s v_s u_{s+1})$$

for $0 \leq s \leq r-1$. By induction on s , this allows us to deduce $\beta(v_s) = 1$ for $0 \leq s \leq r-1$. Finally, $\beta(w_i) = \beta(w_j)$ entails

$$\beta(u_1 \cdots u_r) = \beta(w_i) = \beta(w_j) = \beta(v_0 u_1 v_1 \cdots u_r v_r) = \beta(u_1 \cdots u_r v_r),$$

implying $\beta(v_r) = 1$. □

4.2. Loops

Let $G = H \wr F_2$ and fix free generators $a, b \in F_2$. Recall that every element of F_2 can be represented by a unique word over $\{a, a^{-1}, b, b^{-1}\}$ that does not contain a factor of the form aa^{-1} , $a^{-1}a$, bb^{-1} , or $b^{-1}b$; such words are called *reduced*. For $f \in F_2$, let $|f|$ be the length of the reduced word representing f . Also recall that elements of G are pairs (k, f) , where $k \in K = \bigoplus_{g \in F_2} H$

and $f \in F_2$. In the following, we simply write kf for the pair (k, f) . Fix an automaton

$$A = (Q, G, E, q_0, Q_F)$$

with labels from G for the rest of Section 4. We want to check whether $1 \in L(A)$. Since G is generated as a monoid by $H \cup \{a, a^{-1}, b, b^{-1}\}$, we can assume that $E \subseteq Q \times (H \cup \{a, a^{-1}, b, b^{-1}\}) \times Q$.

A *configuration* is an element of $Q \times G$. For configurations $(p, g_1), (q, g_2)$, we write $(p, g_1) \rightarrow_A (q, g_2)$ if there is a $(p, g, q) \in E$ such that $g_2 = g_1g$. For elements $f, g \in F_2$, we write $f \leq g$ ($f < g$) if the reduced word representing f is a (proper) prefix of the reduced word representing g . We say that an element $f \in F_2 \setminus \{1\}$ is *of type* $x \in \{a, a^{-1}, b, b^{-1}\}$ if the reduced word representing f ends with x . Furthermore, $1 \in F_2$ is *of type* 1. Hence, the set of *types* is

$$T = \{1, a, a^{-1}, b, b^{-1}\}.$$

When regarding the Cayley graph of F_2 as a directed tree with root 1, the children of a node of type t are of the types

$$C(t) = \{a, a^{-1}, b, b^{-1}\} \setminus \{t^{-1}\}.$$

Clearly, two nodes have the same type if and only if their induced subtrees of the Cayley graph are isomorphic. The elements of $D = \{a, a^{-1}, b, b^{-1}\}$ will also be called *directions*.

Let $p, q \in Q$ and $t \in T$. A sequence of configurations

$$(q_1, k_1f_1) \rightarrow_A (q_2, k_2f_2) \rightarrow_A \cdots \rightarrow_A (q_n, k_nf_n) \tag{2}$$

(recall that k_if_i denotes the pair $(k_i, f_i) \in G$) is called a *well-nested* (p, q) -*computation for* t if

- (i) $q_1 = p$ and $q_n = q$,
- (ii) $f_1 = f_n$ is of type t , and
- (iii) $f_i \geq f_1$ for $1 < i < n$.

Of course, condition (iii) is satisfied automatically if $f_1 = f_n = 1$. We define the *effect* of the computation to be $(k_1f_1)^{-1}(k_nf_n)$. The second component (from F_2) of this element is 1, hence the effect can be identified with an element of K . The effect of a computation can be also defined as the product

of all transition labels of the corresponding path in the automaton A . Hence, it describes the change imposed by applying the corresponding sequence of transitions, independently of the configuration in which it starts. The *depth* of the computation (2) is the maximum value of $|f_1^{-1}f_i|$ for $1 \leq i \leq n$. We have $1 \in L(A)$ if and only if for some $q \in Q_F$, there is a well-nested (q_0, q) -computation for 1 with effect 1.

For $d \in C(t)$, a well-nested (p, q) -computation (2) for t is called a (p, d, q) -loop for t if in addition $n \geq 3$ and $f_1d \leq f_i$ for $1 < i < n$. Note that the first (resp., last) transition of a (p, d, q) -loop must be of the form $(q_1, k_1f_1) \rightarrow_A (q_2, k_2f_1d)$ (resp., $(q_{n-1}, k_{n-1}f_nd) \rightarrow_A (q_n, k_nf_n)$). Hence, the H -value of the origin f_1 (viewed as a node of the Cayley-graph of F_2) is not modified (in particular, $k_1(f_1) = k_n(f_n)$). Moreover, there is a (p, d, q) -loop for t that starts in (p, kf) (where f is of type t) with effect e and depth m if and only if there exists a (p, d, q) -loop for t with effect e and depth m that starts in (p, t) .

Given $p, q \in Q$, $t \in T$, $d \in C(t)$, it is decidable whether there is a (p, d, q) -loop for t : This amounts to checking whether a given automaton with input alphabet $\{a, a^{-1}, b, b^{-1}\}$ accepts a word w such that (i) w begins with d , (ii) w represents the identity of F_2 , and (iii) no proper prefix of w represents the identity of F_2 . Since this can be accomplished using pushdown automata, we can compute the set

$$X_t = \{(p, d, q) \in Q \times C(t) \times Q \mid \text{there is a } (p, d, q)\text{-loop for } t\}.$$

4.3. Loop patterns

Given a word $w = (p_1, d_1, q_1) \cdots (p_n, d_n, q_n) \in X_t^*$, a *loop assignment* for w is a choice of a (p_i, d_i, q_i) -loop for t for each position i , $1 \leq i \leq n$. The *effect* of a loop assignment is $e_1 \cdots e_n \in K$, where $e_i \in K$ is the effect of the loop assigned to position i . The *depth* of a loop assignment is the maximum depth of an appearing loop. A *loop pattern* for t is a word $w \in X_t^*$ that has a loop assignment with effect 1. The *depth* of the loop pattern is the minimum depth of a loop assignment with effect 1. Note that applying the loops for the symbols in a loop pattern $(p_1, d_1, q_1) \cdots (p_n, d_n, q_n)$ does not have to be a computation: We do not require $q_i = p_{i+1}$. Instead, the loop patterns describe the possible ways in which a well-nested computation can enter (and leave) subtrees of the Cayley graph of F_2 in order to have effect 1. The sets

$$P_t = \{w \in X_t^* \mid w \text{ is a loop pattern for } t\}$$

for $t \in T$ will therefore play a central role in the decision procedure.

Recall the definition of the well-quasi-order \preceq_H from Section 4.1.

Lemma 4. *For each $t \in T$, the set P_t is an upward closed subset of X_t^* with respect to \preceq_H .*

Proof. Since K is a direct sum of copies of H , the orders \preceq_H and \preceq_K coincide. It therefore suffices to show that P_t is upward closed with respect to \preceq_K . Let $u \in P_t$ and $u \preceq_K v$, $v \in X_t^*$, meaning $v = v_0 u_1 v_1 \cdots u_n v_n$ with $u = u_1 \cdots u_n$ and $\alpha(v_i) = 1$, $0 \leq i \leq n$, for every morphism $\alpha: X_t^* \rightarrow K$. Since $u \in P_t$, there is a loop assignment for each u_i , $1 \leq i \leq n$, with effect e_i such that $e_1 \cdots e_n = 1$. By construction of X_t , for each $(p, d, q) \in X_t$, there is a (p, d, q) -loop, say $\ell_{p,d,q}$, for t . Let $\varphi: X_t^* \rightarrow K$ be the morphism such that for each $(p, d, q) \in X_t$, $\varphi((p, d, q))$ is the effect of $\ell_{p,d,q}$. Choosing $\ell_{p,d,q}$ for each occurrence of (p, d, q) in a subword v_i and reusing the loop assignments for the u_i defines a loop assignment for v . Since $\varphi(v_i) = 1$ for $0 \leq i \leq n$, the effect of this loop assignment is $\varphi(v_0) e_1 \varphi(v_1) \cdots e_n \varphi(v_n) = e_1 \cdots e_n = 1$. Hence, $v \in P_t$. \square

Since \preceq_H is a well-quasi-order, the previous lemma already implies that each P_t is a regular language. On the one hand, this follows from the fact that the upward closure of each singleton is regular. On the other hand, this can be deduced by observing that \preceq_H is a monotone order in the sense of [10]. Therein, Ehrenfeucht, Haussler, and Rozenberg show that languages that are upward closed with respect to monotone well-quasi-orders are regular. Our next step is a characterization of the sets P_t that allows us to compute finite automata for them. In order to state this characterization, we need the following definitions.

Let X, Y be alphabets. A *regular substitution* is a map $\sigma: X \rightarrow 2^{Y^*}$ such that $\sigma(x)$ is a regular language for every $x \in X$. For $w \in X^*$, $w = w_1 \cdots w_n$, $w_i \in X$, let $\sigma(w) = R_1 \cdots R_n$, where $\sigma(w_i) = R_i$ for $1 \leq i \leq n$. Given a set $R \subseteq Y^*$ and a regular substitution $\sigma: X \rightarrow 2^{Y^*}$, let

$$\sigma^{-1}(R) = \{w \in X^* \mid \sigma(w) \cap R \neq \emptyset\}.$$

Note that if R is regular, then $\sigma^{-1}(R)$ is regular as well [35, Proposition 2.16], and an automaton for $\sigma^{-1}(R)$ can be constructed effectively from an automaton for R and automata for the $\sigma(x)$.

The alphabet Y_t is given by

$$Y_t = X_t \cup ((Q \times H \times Q) \cap E).$$

We will interpret a word in Y_t^* as that part of a computation that happens in a node (of the Cayley-graph of F_2) of type t : A symbol in $Y_t \setminus X_t$ stands for a transition that stays in the current node and only changes the local H -value and the state. A symbol $(p, d, q) \in X_t$ represents the execution of a (p, d, q) -loop in a subtree of the current node. The morphism $\pi_t: Y_t^* \rightarrow X_t^*$ is the projection onto X_t^* , meaning

$$\pi_t(y) = \begin{cases} y & \text{for } y \in X_t \\ \varepsilon & \text{for } y \in Y_t \setminus X_t. \end{cases}$$

The morphism $\nu_t: Y_t^* \rightarrow H$ is defined by

$$\begin{aligned} \nu_t((p, d, q)) &= 1 \text{ for } (p, d, q) \in X_t \\ \nu_t((p, h, q)) &= h \text{ for } (p, h, q) \in Y_t \setminus X_t. \end{aligned}$$

Hence, when $w \in Y_t^*$ describes part of a computation, $\nu_t(w)$ is the change it imposes on the current node. For $p, q \in Q$ and $t \in T$, define the regular set

$$R_{p,q}^t = \{(p_0, g_1, p_1)(p_1, g_2, p_2) \cdots (p_{n-1}, g_n, p_n) \in Y_t^* \mid p_0 = p, p_n = q\}.$$

Then $\pi_t^{-1}(P_t) \cap \nu_t^{-1}(1) \cap R_{p,q}^t$ consists of those words over Y_t that admit an assignment of loops to occurrences of symbols in X_t so as to obtain a well-nested (p, q) -computation for t with effect 1. More precisely, if $w \in \pi_t^{-1}(P_t) \cap \nu_t^{-1}(1) \cap R_{p,q}^t$, then

- $w \in R_{p,q}^t$ ensures that automaton states match in successive triples,
- $w \in \nu_t^{-1}(1)$ ensures that the H -value of the origin (the initial and final node of the Cayley graph of F_2 , which must be of type t) is not changed, and
- $w \in \pi_t^{-1}(P_t)$ ensures that one can assign loops to the symbols from X_t such that the overall effect of these loops is 1. Note that these loops do not change the H -value of the origin, since the definition of a loop requires that the origin is only visited in the first and last configuration.

Given $t \in T$ and $d \in C(t)$, the regular substitution $\sigma_{t,d}: X_t \rightarrow 2^{Y_d^*}$ is defined by

$$\begin{aligned} \sigma_{t,d}((p, d, q)) &= \bigcup \{R_{p',q'}^d \mid (p, d, p'), (q', d^{-1}, q) \in E\} \\ \sigma_{t,d}((p, u, q)) &= \{\varepsilon\} \text{ for } u \in C(t) \setminus \{d\}. \end{aligned}$$

Given two tuples, $(U_t)_{t \in T}$ and $(V_t)_{t \in T}$ with $U_t, V_t \subseteq X_t^*$, we write $(U_t)_{t \in T} \leq (V_t)_{t \in T}$ if $U_t \subseteq V_t$ for each $t \in T$. Recall that an effect of a well-nested (p, q) -computation is always an element of K , which consists of functions $F_2 \rightarrow H$ with finite support. Thus, if $e \in K$ is the effect of a computation and $f \in F_2$, then $e(f) \in H$ is the change the computation imposes on the node gf if it is executed in the node $g \in F_2$. For $e \in K$ and $f \in F_2$, we will write $e|_{>f}$ to denote the element $e' \in K$ with $e'(g) = e(g)$ for $g > f$ and $e'(g) = 1$ for $g \not> f$. Hence, this allows us to denote the effect a computation has on the subtrees below the node f .

Lemma 5. $(P_t)_{t \in T}$ is the smallest tuple such that for every $t \in T$ we have $\varepsilon \in P_t$ and

$$\bigcap_{d \in C(t)} \sigma_{t,d}^{-1}(\pi_d^{-1}(P_d) \cap \nu_d^{-1}(1)) \subseteq P_t. \quad (3)$$

Proof. For each $i \in \mathbb{N}$, let $P_t^{(i)} \subseteq X_t^*$ be the set of loop patterns for t whose depth is at most i . Then clearly $P_t^{(0)} = \{\varepsilon\}$ (if a loop pattern starts with (p, d, q) , then the pattern has depth at least 1, since every (p, d, q) -loop has depth at least 1). We claim that

$$P_t^{(i+1)} = \bigcap_{d \in C(t)} \sigma_{t,d}^{-1}(\pi_d^{-1}(P_d^{(i)}) \cap \nu_d^{-1}(1)) \quad (4)$$

for every $i \geq 0$. For each $d \in C(t)$, let

$$X_{t,d} = X_t \cap (Q \times \{d\} \times Q).$$

We define the morphism $\rho_d : X_t^* \rightarrow X_{t,d}^*$ by $\rho_d((p, d, q)) = (p, d, q)$ and $\rho_d((p, d', q)) = \varepsilon$ for all $p, q \in Q$ and $d' \neq d$. Now each side of (4) contains a word $w \in X_t^*$ if and only if it contains $\rho_d(w)$ for every $d \in C(t)$. Hence, proving (4) amounts to showing that for every $d \in C(t)$ and $w \in X_{t,d}^*$, we have

$$w \in P_t^{(i+1)} \text{ if and only if } \sigma_{t,d}(w) \cap \pi_d^{-1}(P_d^{(i)}) \cap \nu_d^{-1}(1) \neq \emptyset. \quad (5)$$

In order to show the direction “ \Rightarrow ”, let $w \in P_t^{(i+1)}$, $w \in X_{t,d}^*$, and write $w = (p_1, d, q_1) \cdots (p_n, d, q_n)$. This means for each $1 \leq j \leq n$, there is a (p_j, d, q_j) -loop for t , ℓ_j , of depth $\leq i + 1$ and with effect e_j such that $e_1 \cdots e_n = 1$.

Let $\mu : E^* \rightarrow F_2$ be the morphism with $\mu((p, h, q)) = 1$ for $h \in H$ and $\mu((p, f, q)) = f$ for $f \in F_2$. For each $1 \leq j \leq n$, let $u_j \in E^*$ be the edge

sequence corresponding to ℓ_j . Then by the definition of loops, there is a unique decomposition

$$u_j = (p_j, d, p'_j) y_0^{(j)} x_1^{(j)} y_1^{(j)} \cdots x_{n_j}^{(j)} y_{n_j}^{(j)} (q'_j, d^{-1}, q_j)$$

such that for $1 \leq k \leq n_j$, we have $y_k^{(j)} \in (Y_d \setminus X_d)^*$, $\mu(x_k^{(j)}) = 1$, and $\mu(x) > 1$ for every proper prefix x of $x_k^{(j)}$. Clearly, each $x_k^{(j)}$ corresponds to a $(\bar{p}_k^{(j)}, \bar{d}_k^{(j)}, \bar{q}_k^{(j)})$ -loop of depth $\leq i$ for some $\bar{p}_k^{(j)}, \bar{q}_k^{(j)} \in Q$, $\bar{d}_k^{(j)} \in C(d)$. Let

$$v_j = y_0^{(j)} (\bar{p}_1^{(j)}, \bar{d}_1^{(j)}, \bar{q}_1^{(j)}) y_1^{(j)} \cdots (\bar{p}_{n_j}^{(j)}, \bar{d}_{n_j}^{(j)}, \bar{q}_{n_j}^{(j)}) y_{n_j}^{(j)}.$$

We shall prove that

$$v_1 \cdots v_n \in \sigma_{t,d}(w) \cap \pi_d^{-1}(P_d^{(i)}) \cap \nu_d^{-1}(1). \quad (6)$$

Since $v_j \in R_{p'_j, q'_j}^d$, we have $v_1 \cdots v_n \in \sigma_{t,d}(w)$. Furthermore, assigning to $(\bar{p}_k^{(j)}, \bar{d}_k^{(j)}, \bar{q}_k^{(j)})$ the loop corresponding to $x_k^{(j)}$ for $1 \leq k \leq n_j$, $1 \leq j \leq n$, yields a loop assignment for $\pi_d(v_1 \cdots v_n) \in X_d^*$ with effect $d^{-1}(e_1 \cdots e_n)|_{>d} = 1$. This means $v_1 \cdots v_n \in \pi_d^{-1}(P_d^{(i)})$. Finally, $\nu_d(v_j) = e_j(d)$ implies $\nu_d(v_1 \cdots v_n) = (e_1 \cdots e_n)(d) = 1$. This proves (6) and hence “ \Rightarrow ” of (5).

We shall now prove the direction “ \Leftarrow ” of (5). Let $d \in C(t)$ and suppose $w \in X_{t,d}^*$, $w = (p_1, d, q_1) \cdots (p_n, d, q_n)$, with $v \in \sigma_{t,d}(w) \cap \pi_d^{-1}(P_d^{(i)}) \cap \nu_d^{-1}(1)$ for some $v \in Y_d^*$. Since $v \in \sigma_{t,d}(w)$, we can write $v = v_1 \cdots v_n$ for words $v_1, \dots, v_n \in Y_t^*$ with $v_j \in R_{p'_j, q'_j}^d$ for some $p'_j, q'_j \in Q$, $1 \leq j \leq n$. Consider the loop assignment with effect 1 for $\pi_d(v) \in P_d^{(i)}$. Let $u_j \in E^*$ be obtained from v_j by replacing every occurrence of (p, d', q) , $d' \in C(d)$, with the edge sequence corresponding to the loop assigned to this occurrence. Since $v_j \in R_{p'_j, q'_j}^d$, u_j corresponds to a well-nested (p'_j, q'_j) -computation for d and hence $(p_j, d, p'_j) u_j (q'_j, d^{-1}, q_j)$ is an edge sequence corresponding to a (p_j, d, q_j) -loop for d , say ℓ_j . Let e_j be its effect. The loop assignment we chose for $\pi_d(v)$ has effect 1, meaning $(e_1 \cdots e_n)(f) = 1$ for $f > d$. Moreover, we have $(e_1 \cdots e_n)(d) = \nu_d(v) = 1$. Thus, $e_1 \cdots e_n = 1$, implying that assigning ℓ_j to (p_j, d, q_j) defines a loop assignment with effect 1 for w . Since the depth of each ℓ_j is $\leq i + 1$, we can conclude $w \in P_t^{(i+1)}$. This completes the proof of (5) and hence of (4).

Let $(\bar{P}_t)_{t \in T}$ be a tuple with $\varepsilon \in \bar{P}_t$ that satisfies (3). By induction on i , (4) implies that $(P_t^{(i)})_{t \in T} \leq (\bar{P}_t)_{t \in T}$. Since $P_t = \bigcup_{i \geq 0} P_t^{(i)}$, this means $(P_t)_{t \in T} \leq (\bar{P}_t)_{t \in T}$. Finally, (4) also implies that $(P_t)_{t \in T}$ satisfies (3) itself. \square

Given a language $L \subseteq X_t^*$, let $L\uparrow_t = \{v \in X_t^* \mid u \preceq_H v \text{ for some } u \in L\}$.

Theorem 6. *The rational subset membership problem is decidable for every group $G = H \wr F$, where H is finite and F is a finitely generated free group.*

Proof. Since $H \wr F$ is a subgroup of $H \wr F_2$ (since F is a subgroup of F_2), it suffices to show decidability for $G = H \wr F_2$. First, we compute finite automata for the languages P_t . We do this by initializing $U_t^{(0)} := \{\varepsilon\}\uparrow_t$ for each $t \in T$ and then successively extending the sets $U_t^{(i)}$, which are represented by finite automata, until they equal P_t : If there is a $t \in T$ and a word

$$w \in \bigcap_{d \in C(t)} \sigma_{t,d}^{-1} \left(\pi_d^{-1}(U_d^{(i)}) \cap \nu_d^{-1}(1) \right) \setminus U_t^{(i)},$$

we set $U_t^{(i+1)} := U_t^{(i)} \cup \{w\}\uparrow_t$ and $U_u^{(i+1)} := U_u^{(i)}$ for $u \in T \setminus \{t\}$. Otherwise we stop. By induction on i , it follows from Lemma 4 and Lemma 5 that $U_t^{(i)} \subseteq P_t$.

In each step, we obtain $U_t^{(i+1)}$ by adding new words to $U_t^{(i)}$. Since the sets $U_t^{(i)}$ are upward closed by construction and there is no infinite (strictly) ascending chain of upward closed sets in a wqo, the algorithm above has to terminate with some tuple $(U_t^{(k)})_{t \in T}$. This, however, means that for every $t \in T$

$$\bigcap_{d \in C(t)} \sigma_{t,d}^{-1} \left(\pi_d^{-1}(U_d^{(k)}) \cap \nu_d^{-1}(1) \right) \subseteq U_t^{(k)}.$$

Since on the other hand $\varepsilon \in U_t^{(k)}$ and $U_t^{(k)} \subseteq P_t$, Lemma 5 yields $U_t^{(k)} = P_t$.

Now we have $1 \in L(A)$ if and only if $\pi_1^{-1}(P_1) \cap \nu_1^{-1}(1) \cap R_{q_0,q}^1 \neq \emptyset$ for some $q \in Q_F$, which can be reduced to non-emptiness for finite automata. \square

Theorem 7. *The rational subset membership problem is decidable for every group $H \wr V$ with H finite and V virtually free.*

Proof. This is immediate from Theorem 6 and Proposition 1, because if F is a free subgroup of index m in V , then $H^m \wr F$ is isomorphic to a subgroup of index m in $H \wr V$ and decidability of rational subset membership is preserved by finite extensions [15, 20]. \square

5. Undecidability

In this section, we will prove the second main result of this paper: The wreath product $\mathbb{Z} \wr \mathbb{Z}$ contains a fixed submonoid with an undecidable membership problem. Our proof is based on the halting problem for 2-counter machines (also known as Minsky machines), which is a classical undecidable problem.

5.1. 2-counter machines

A *2-counter machine* (also known as Minsky machine) is a tuple $C = (Q, q_0, q_f, \delta)$, where

- Q is a finite set of *states*,
- $q_0 \in Q$ is the *initial state*,
- $q_f \in Q$ is the *final state*, and
- $\delta \subseteq (Q \setminus \{q_f\}) \times \{c_0, c_1\} \times \{+1, -1, = 0\} \times Q$ is the set of *transitions*.

The set of configurations is $Q \times \mathbb{N} \times \mathbb{N}$, on which we define a binary relation \rightarrow_C as follows: $(p, m_0, m_1) \rightarrow_C (q, n_0, n_1)$ if and only if one of the following three cases holds:

- There exist $i \in \{0, 1\}$ and a transition $(p, c_i, +1, q) \in \delta$ such that $n_i = m_i + 1$ and $n_{1-i} = m_{1-i}$.
- There exist $i \in \{0, 1\}$ and a transition $(p, c_i, -1, q) \in \delta$ such that $n_i = m_i - 1$ (in particular, we must have $m_i > 0$) and $n_{1-i} = m_{1-i}$.
- There exist $i \in \{0, 1\}$ and a transition $(p, c_i, = 0, q) \in \delta$ such that $n_i = m_i = 0$ and $n_{1-i} = m_{1-i}$.

It is well known that every Turing-machine can be simulated by a 2-counter machine (see e.g. [29]). In particular, we have:

Theorem 8. *There exists a fixed 2-counter machine $C = (Q, q_0, q_f, \delta)$ such that the following problem is undecidable:*

INPUT: Numbers $m, n \in \mathbb{N}$.

QUESTION: Does $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$ hold?

5.2. Submonoids of $\mathbb{Z} \wr \mathbb{Z}$

In this section, we will only consider wreath products of the form $H \wr \mathbb{Z}$. An element $(\zeta, m) \in H \wr \mathbb{Z}$ such that the support of ζ is contained in the interval $[a, b]$ (with $a, b \in \mathbb{Z}$) and $0, m \in [a, b]$ will also be written as a list $[\zeta(a), \dots, \zeta(b)]$, where in addition the element $\zeta(0)$ is labeled by an incoming (downward) arrow and the element $\zeta(m)$ is labeled by an outgoing (upward) arrow.

In this section, we will construct a fixed finitely generated submonoid of the wreath product $\mathbb{Z} \wr \mathbb{Z}$ with an undecidable membership problem.

Let $C = (Q, q_0, q_f, \delta)$ be the 2-counter machine from Theorem 8. Without loss of generality we can assume that there exists a partition $Q = Q_0 \cup Q_1$ such that $q_0 \in Q_0$ and

$$\delta \subseteq (Q_0 \times \{c_0\} \times \{+1, -1, = 0\} \times Q_1) \cup (Q_1 \times \{c_1\} \times \{+1, -1, = 0\} \times Q_0).$$

In other words, C alternates between the two counters. Hence, a transition (q, c_i, x, p) can be just written as (q, x, p) . Let

$$\Sigma = Q \cup \{c, \#\}.$$

Let \mathbb{Z}^Σ be the free abelian group generated by Σ . First, we will prove that there is a fixed finitely generated submonoid M of the wreath product $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ with an undecidable membership problem. Let $a \notin \Sigma$ be a generator for the right \mathbb{Z} -factor; hence $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ is generated by $\Sigma \cup \{a\}$. Let $K = \bigoplus_{m \in \mathbb{Z}} \mathbb{Z}^\Sigma$. In the following, we will freely switch between the description of elements of $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ by words over $(\Sigma \cup \{a\})^{\pm 1}$ and by pairs from $K \rtimes \mathbb{Z}$. For a finite-support mapping $\zeta \in K$, $m \in \mathbb{Z}$, and $x \in \Sigma$, we also write $\zeta(m, x)$ for the integer $\zeta(m)(x)$.

Our finitely generated submonoid M of $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ is generated by the following elements. The right column shows the generators in list notation, where elements of the free abelian group \mathbb{Z}^Σ are written additively, i.e., as \mathbb{Z} -linear

combinations of elements of Σ :

$$p^{-1}a\#a^2\#aq \text{ for } (p, = 0, q) \in \delta \quad [\overset{\downarrow}{-p}, \#, 0, \#, \overset{\uparrow}{q}] \quad (7)$$

$$p^{-1}a\#aca^2qa^{-2} \text{ for } (p, +1, q) \in \delta \quad [\overset{\downarrow}{-p}, \#, \overset{\uparrow}{c}, 0, q] \quad (8)$$

$$p^{-1}a\#a^3qa^6c^{-1}a^{-8} \text{ for } (p, -1, q) \in \delta \quad [\overset{\downarrow}{-p}, \#, \overset{\uparrow}{0}, 0, q, 0, 0, 0, 0, 0, -c] \quad (9)$$

$$c^{-1}a^8ca^{-8} \quad [\overset{\downarrow\uparrow}{-c}, 0, 0, 0, 0, 0, 0, 0, c] \quad (10)$$

$$c^{-1}a\#a^7ca^{-6} \quad [\overset{\downarrow}{-c}, \#, \overset{\uparrow}{0}, 0, 0, 0, 0, 0, c] \quad (11)$$

$$q_f^{-1}a^{-1} \quad [\overset{\uparrow}{0}, \overset{\downarrow}{-q_f}] \quad (12)$$

$$\#^{-1}a^{-2} \quad [\overset{\uparrow}{0}, 0, \overset{\downarrow}{-\#}] \quad (13)$$

For initial counter values $m, n \in \mathbb{N}$ let

$$I(m, n) = aq_0a^2c^m a^4c^n a^{-6}.$$

The list notation for $I(m, n)$ is

$$[\overset{\downarrow}{0}, \overset{\uparrow}{q_0}, 0, m \cdot c, 0, 0, 0, n \cdot c]. \quad (14)$$

Here is some intuition: The group element $I(m, n)$ represents the initial configuration (q_0, m, n) of the 2-counter machine C . Lemma 9 below states that $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$ is equivalent to the existence of $Y \in M$ with $I(m, n)Y = 1$, i.e., $I(m, n)^{-1} \in M$. Generators of type (7)–(11) simulate the 2-counter machine C . States of C will be stored at cursor positions $4k + 1$. The values of the first (resp., second) counter will be stored at cursor positions $8k + 3$ (resp., $8k + 7$). Note that $I(m, n)$ puts a single copy of the symbol $q_0 \in \Sigma$ at position 1, m copies of symbol c (which represents counter values) at position 3, and n copies of symbol c at position 7. Hence, indeed, $I(m, n)$ sets up the initial configuration (q_0, m, n) for C . Even cursor positions will carry the special symbol $\#$. Note that generator (12) is the only generator which changes the cursor position from even to odd or vice versa. It will turn out that if $I(m, n)Y = 1$ ($Y \in M$), then generator (12) has to occur exactly once in Y ; it terminates the simulation of the 2-counter machine C . Hence, Y can be written as $Y = U(q_f^{-1}a^{-1})V$ with $U, V \in M$. Moreover, it turns out that $U \in M$ is a product of generators (7)–(11), which simulate

C . Thereby, even cursor positions will be marked with a single occurrence of the special symbol $\#$. In a second phase, which corresponds to $V \in M$, these special symbols $\#$ will be removed again and the cursor will be moved left to position 0. This is accomplished with generator (13). In fact, our construction enforces that V is a power of (13).

During the simulation phase (corresponding to $U \in M$), generators of type (7) implement zero tests, whereas generators of type (8) (resp., (9)) increment (resp., decrement) a counter. Finally, (10) and (11) copy the counter value to the next cursor position that is reserved for the counter (that is copied). During such a copy phase, (10) is first applied ≥ 0 many times. Finally, (11) is applied exactly once.

Lemma 9. *For all $m, n \in \mathbb{N}$ the following are equivalent:*

- $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$
- *There exists $Y \in M$ such that $I(m, n)Y = 1$.*

Proof. Assume first that $I(m, n)Y = 1$ for some $Y \in M$. We have to show that $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$; this is the more difficult direction. Let

$$Y = y_1 \cdots y_k,$$

where each y_i is one of the generators of M . For $0 \leq i \leq k$ let

$$Y_i = y_1 \cdots y_i$$

(thus, $Y_0 = 1$) and assume that

$$I(m, n)Y_i = (\zeta_i, m_i) \in K \times \mathbb{Z}.$$

Hence, $\zeta_k = 0$ is the zero-mapping and $m_k = 0$. Moreover $(\zeta_0, m_0) = I(m, n)$.

Claim 1. For all $0 \leq i \leq k$, $q \in Q$, and $\ell \in \mathbb{Z}$ we have $\zeta_i(2\ell, q) = 0$.

Proof of Claim 1. Assume that $\zeta_i(2\ell, q) \neq 0$ for some $0 \leq i \leq k$, $q \in Q$, and $\ell \in \mathbb{Z}$. Choose $0 \leq i \leq k$ minimal such that there exist $q \in Q$ and $\ell \in \mathbb{Z}$ with $\zeta_i(2\ell, q) \neq 0$. Since $\zeta_0(2\ell, q) = 0$ for all $q \in Q$ and $\ell \in \mathbb{Z}$ (the list notation for (ζ_0, m_0) is (14)), we must have $i \geq 1$. Hence, $\zeta_{i-1}(2\ell, q) = 0$ for all $q \in Q$ and $\ell \in \mathbb{Z}$. An inspection of the generators shows that if m_{i-1} were odd, we would also have $\zeta_i(2\ell, q) = 0$ for all $q \in Q$ and $\ell \in \mathbb{Z}$. Therefore, m_{i-1} must

be even. An inspection of the generators of M shows that there exist $j \in \mathbb{Z}$ and $p \in Q$ such that

$$\zeta_i(2j, p) < 0 \text{ and } \zeta_i(2j', p') = 0 \text{ for all } j' < j \text{ and } p' \in Q.$$

But then, for all $i \leq i' \leq k$ there exist $j \in \mathbb{Z}$ and $p \in Q$ such that

$$\zeta_{i'}(2j, p) < 0 \text{ and } \zeta_{i'}(2j', p') = 0 \text{ for all } j' < j \text{ and } p' \in Q.$$

For $i' = k$ we obtain a contradiction, since $\zeta_k = 0$.

Claim 1 implies that for all $1 \leq i \leq k$ with m_{i-1} even, the generator y_i cannot be of type (7), (8), (9), or (12).

Claim 2. For all $0 \leq i \leq k$ and $\ell \in \mathbb{Z}$ we have $\zeta_i(2\ell, c) = 0$.

Proof of Claim 2. Assume that $\zeta_i(2\ell, c) \neq 0$ for some $0 \leq i \leq k$ and $\ell \in \mathbb{Z}$. Choose $0 \leq i \leq k$ minimal such that there exists $\ell \in \mathbb{Z}$ with $\zeta_i(2\ell, c) \neq 0$. Since $\zeta_0(2\ell, c) = 0$ for all $\ell \in \mathbb{Z}$, we must have $i \geq 1$. Hence, $\zeta_{i-1}(2\ell, c) = 0$ for all $\ell \in \mathbb{Z}$. An inspection of the generators shows that if m_{i-1} were odd, we would also have $\zeta_i(2\ell, c) = 0$ for all $\ell \in \mathbb{Z}$. Therefore, m_{i-1} must be even. The generator y_i must be of one of the types (8), (9), (10), or (11). But the types (8) and (9) are excluded by the remark before Claim 2. Therefore, y_i must be either (10) or (11). Thus, there exists $j \in \mathbb{Z}$ such that

$$\zeta_i(2j, c) < 0 \text{ and } \zeta_i(2j', c) = 0 \text{ for all } j' < j.$$

Note that for all $i < i' \leq k$ with $m_{i'-1}$ even, the generator $y_{i'}$ is not of type (8) (again by the remark before Claim 2). This implies that for all $i \leq i' \leq k$ there exists $j \in \mathbb{Z}$ such that

$$\zeta_{i'}(2j, c) < 0 \text{ and } \zeta_{i'}(2j', c) = 0 \text{ for all } j' < j.$$

For $i' = k$ we obtain a contradiction, since $\zeta_k = 0$.

Claim 1 and 2 imply that for all $1 \leq i \leq k$ with m_{i-1} even, the generator y_i is (13).

Claim 3. For all $0 \leq i \leq k$ and $\ell \in \mathbb{Z}$ we have $\zeta_i(2\ell + 1, \#) = 0$.

Proof of Claim 3. Assume that $\zeta_i(2\ell + 1, \#) \neq 0$ for some $0 \leq i \leq k$ and $\ell \in \mathbb{Z}$. Choose $0 \leq i \leq k$ minimal such that there exists $\ell \in \mathbb{Z}$ with $\zeta_i(2\ell + 1, \#) \neq 0$. Since $\zeta_0(\ell, \#) = 0$ for all $\ell \in \mathbb{Z}$, we must have $i \geq 1$. Hence, $\zeta_{i-1}(2\ell + 1, \#) = 0$ for all $\ell \in \mathbb{Z}$. There are two possible cases:

1. m_{i-1} is odd and y_i is the generator (13).
2. m_{i-1} is even and y_i is a generator of type (7)–(9) or (11).

But the second case is not possible by the remark before Claim 3. Hence, m_{i-1} is odd and y_i is the generator (13). Thus, there exists $j \in \mathbb{Z}$ with $\zeta_i(2j+1, \#) < 0$. Since for every $i \leq i' \leq k$ with $m_{i'-1}$ even, the generator $y_{i'}$ can only be of type (13) (again by the remark before Claim 3), it follows that for every $i \leq i' \leq k$ we have $\zeta_{i'}(2j+1, \#) < 0$. For $i' = k$ we obtain a contradiction, since $\zeta_k = 0$.

Claim 4. There is exactly one $1 \leq i \leq k$ such that y_i is the generator (12).

Proof of Claim 4. For $g = (\zeta, m) \in \mathbb{Z}^\Sigma \wr \mathbb{Z}$ and $b \in \{0, 1\}$ we define

$$\sigma_Q(g, b) = \sum_{k \in \mathbb{Z}} \sum_{q \in Q} \zeta(2k + b, q).$$

An inspection of all generators of M shows that for every $g \in \mathbb{Z}^\Sigma \wr \mathbb{Z}$ and every generator z of M we have:

- If z is not the generator (12), then $\sigma_Q(gz, b) = \sigma_Q(g, b)$ for both $b = 0$ and $b = 1$.
- If z is the generator (12), then there is $b \in \{0, 1\}$ such that $\sigma_Q(gz, b) = \sigma_Q(g, b) - 1$ and $\sigma_Q(gz, 1 - b) = \sigma_Q(g, 1 - b)$.

The claim follows, since $\sigma_Q(I(m, n), 0) = \sigma_Q(I(m, n)Y, 0) = \sigma_Q(I(m, n)Y, 1) = 0$ and $\sigma_Q(I(m, n), 1) = 1$.

By Claim 1–4, there exists a unique $1 \leq i \leq k$ such that the following three properties hold:

- For every $1 \leq j < i$, y_j is a generator of type (7)–(11).
- y_i is the generator (12).
- For every $i < j \leq k$, y_j is the generator (13).

Hence, $I(m, n)Y_{i-1}$ must be of the form

$$[\overset{\downarrow}{0}, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \overset{\uparrow}{q_f}],$$

since only such an element can be reduced to 1 by right-multiplication with generator (12) followed by a positive power of generator (13). We show that this implies $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$. Note that every generator of type (7)–(11) (those generators that occur in Y_{i-1}) moves the cursor $2d$ (for some $d \geq 0$) to the right along the \mathbb{Z} -line. This means that for every $0 \leq j \leq i-1$, m_j is odd and moreover, for every odd $m < m_j$, the group element $\zeta_j(m) \in \mathbb{Z}^\Sigma$ is zero.

Claim 5. Let $0 \leq j < i-1$ and assume that $I(m, n)Y_j$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow p, 0, a \cdot c, 0, 0, 0, b \cdot c], \quad (15)$$

where $p \in Q_0$, $a, b \in \mathbb{N}$, and $\uparrow p$ occurs at position $\ell = 8k + 1$ for some $k \geq 0$ (hence, (15) represents the configuration (p, a, b)). Then there exists $j' > j$ and a valid C -transition $(p, a, b) \rightarrow_C (q, a', b')$ such that $I(m, n)Y_{j'}$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow q, 0, b' \cdot c, 0, 0, 0, a' \cdot c].$$

Here $\uparrow q$ occurs at position $\ell + 4$.

Proof of Claim 5. Generator y_{j+1} has to be of the form (7), (8), or (9), because otherwise we leave at position ℓ a negative copy of c , which cannot be compensated later. Let us first assume that y_{j+1} has the form (7), arising from $(p, = 0, q) \in \delta$. Then $I(m, n)Y_{j+1}$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, a \cdot c, \#, \uparrow q, 0, b \cdot c, 0, 0, 0, 0], \quad (16)$$

where $\uparrow q$ occurs at position $\ell + 4$. If $a > 0$, then the a many c 's at position $\ell + 2$ cannot be removed in the future. Hence, we must have $a = 0$. Setting $a' = 0$ and $b' = b$ shows that (16) has the form required in the conclusion of Claim 5.

Next, assume that y_{j+1} has the form (8), arising from $(p, +1, q) \in \delta$. Hence, $I(m, n)Y_{j+1}$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, (a+1) \cdot c, 0, q, 0, b \cdot c, 0, 0, 0, 0],$$

where $(a+1) \cdot c$ occurs at position $\ell + 2$. So we have to remove $a+1$ many copies of c from position $\ell + 2$. Hence, the only way to continue is to apply a

many times generator (10) followed by a single application of generator (11). Hence, $I(m, n)Y_{j+a+2}$ must be of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow q, 0, b \cdot c, 0, 0, 0, (a+1) \cdot c], \quad (17)$$

where $\uparrow q$ occurs at position $\ell + 4$. Setting $b' = b$ and $a' = a + 1$ shows that (17) has the form required in the conclusion of Claim 5.

Finally, assume that y_{j+1} has the form (9), arising from $(p, -1, q) \in \delta$. Hence, $I(m, n)Y_{j+1}$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, a \cdot \uparrow c, 0, q, 0, b \cdot c, 0, 0, 0, -c],$$

where $a \cdot \uparrow c$ occurs at position $\ell + 2$. First, assume that $a = 0$. Then there is no way to move the cursor to the right without leaving a negative copy of a symbol from $Q \cup \{c\}$ at position $\ell + 2$, and this negative copy cannot be eliminated later. Hence, we must have $a > 0$. Now, the only way to continue is to apply $a - 1$ many times generator (10) followed by a single application of generator (11). Hence, $I(m, n)Y_{j+a+1}$ must be of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow q, 0, b \cdot c, 0, 0, 0, (a-1) \cdot c], \quad (18)$$

where $\uparrow q$ occurs at position $\ell + 4$. Setting $b' = b$ and $a' = a - 1$ shows that (18) has the form required in the conclusion of Claim 5.

This concludes the proof of Claim 5. Completely analogously to Claim 5, one can show:

Claim 6. Let $0 \leq j < i - 1$ and assume that $I(m, n)Y_j$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow p, 0, a \cdot c, 0, 0, 0, b \cdot c], \quad (19)$$

where $p \in Q_1$, $a, b \in \mathbb{N}$, $\uparrow p$ occurs at position $\ell = 8k + 5$ for some $k \geq 0$ (hence, (19) represents the configuration (p, b, a)). Then there exists $j' > j$ and a valid C -transition $(p, b, a) \rightarrow_C (q, b', a')$ such that $I(m, n)Y_{j'}$ is of the form

$$[\downarrow 0, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \uparrow q, 0, b' \cdot c, 0, 0, 0, a' \cdot c].$$

Here $\uparrow q$ occurs at position $\ell + 4$.

Using Claim 5 and 6 we can now easily conclude that $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$ holds.

The other direction (if $(q_0, m, n) \rightarrow_C^* (q_f, 0, 0)$ then there exists $Y \in M$ with $I(m, n)Y = 1$) is easier. A computation

$$(q_0, m, n) \rightarrow_C (q_1, m_1, n_1) \rightarrow_C \cdots \rightarrow_C (q_{\ell-1}, m_{\ell-1}, n_{\ell-1}) \rightarrow_C (q_f, 0, 0)$$

can be directly translated into a sequence of M -generators $y_1 y_2 \cdots y_k$ such that the group element $I(m, n) y_1 y_2 \cdots y_k$ has the form

$$[\overset{\downarrow}{0}, 0, \#, 0, \#, 0, \#, \dots, 0, \#, 0, \#, \overset{\uparrow}{q_f}],$$

Multiplying this element with generator (12) followed by a positive power of generator (13) yields the group identity. \square

The following result is an immediate consequence of Theorem 8 and Lemma 9.

Theorem 10. *There is a fixed finitely generated submonoid M of the wreath product $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ with an undecidable membership problem.*

Finally, we can establish the main result of this section.

Theorem 11. *There is a fixed finitely generated submonoid M of the wreath product $\mathbb{Z} \wr \mathbb{Z}$ with an undecidable membership problem.*

Proof. By Theorem 10 it suffices to reduce the submonoid membership problem of $\mathbb{Z}^\Sigma \wr \mathbb{Z}$ to the submonoid membership problem of $\mathbb{Z} \wr \mathbb{Z}$. If $m = |\Sigma|$, then Proposition 1 shows that $\mathbb{Z}^\Sigma \wr \mathbb{Z} \cong \mathbb{Z}^m \wr m\mathbb{Z}$ is isomorphic to a subgroup of index m in $\mathbb{Z} \wr \mathbb{Z}$. So if $\mathbb{Z} \wr \mathbb{Z}$ had a decidable submonoid membership problem for each finitely generated submonoid, then the same would be true of $\mathbb{Z}^\Sigma \wr \mathbb{Z}$. \square

We remark that, together with the undecidability of the rational subset membership problem for groups $H \wr (\mathbb{Z} \times \mathbb{Z})$ for non-trivial H [26], our results imply the following: For finitely generated non-trivial abelian groups G and H , the wreath product $H \wr G$ has a decidable rational subset membership problem if and only if (i) G is finite⁴ or (ii) (G has rank 1 and H is finite).

⁴If G has size m , then by Proposition 1, $H^m \cong H^m \wr 1$ is isomorphic to a subgroup of index m in $H \wr G$. Since H^m is finitely generated abelian, decidability of the rational subset membership problem of $H \wr G$ follows from the fact that decidability is preserved by finite extensions [15, 20].

Furthermore, for virtually free groups G and H , the rational subset membership problem is decidable for $H \wr G$ if and only if (i) G is trivial or (ii) H is finite, or (iii) (G is finite and H is virtually abelian).

By [6], the wreath product $\mathbb{Z} \wr \mathbb{Z}$ is a subgroup of Thompson's group F as well as of Baumslag's finitely presented metabelian group $\langle a, s, t \mid [s, t] = [a^t, a] = 1, a^s = aa^t \rangle$. Hence, we get:

Corollary 12. *Thompson's group F as well as Baumslag's finitely presented metabelian group both contain finitely generated submonoids with an undecidable membership problem.*

6. Open problems

As mentioned in the introduction, we conjecture that the rational subset membership problem for a wreath product $H \wr G$ with H non-trivial and G not virtually free is undecidable. Another interesting case, which is not resolved by our results, concerns wreath products $G \wr V$ with V virtually free and G a finitely generated infinite torsion group. Finally, all these questions can also be asked for the submonoid membership problem. We do not know any example of a group with decidable submonoid membership problem but undecidable rational subset membership problem. If such a group exists, it must be one-ended [25].

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