Effectively Regular Downward Closures

Georg Zetzsche

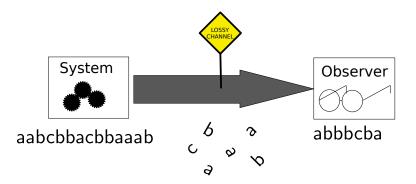
Technische Universität Kaiserslautern

LSV Cachan, 28 October 2014

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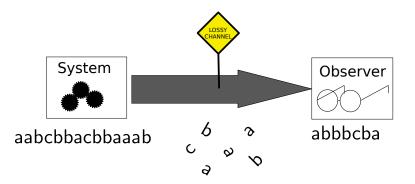




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Downward Closures

- $u \leq v$: *u* is a subsequence of *v*
- $L \downarrow = \{ u \in X^* \mid \exists v \in L \colon u \leq v \}$
- Oberver sees precisely $L\downarrow$

Theorem (Higman/Haines)

For every language $L \subseteq X^*$, $L \downarrow$ is regular.

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Problem

- Finite automaton for $L\downarrow$ exists for every L.
- How can we compute it?

Very few known techniques.

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Theorem (Habermehl, Meyer, Wimmel 2010)

Downward closures are computable for Petri net languages.

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Stacked counter automata

A storage mechanism M consists of:

- States: set *S* of states
- Operations: partial maps $\alpha_1, \ldots, \alpha_n \colon S \to S$
- Initial state: $s_0 \in S$
- Final states: $F \subseteq S$

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Counter

- States: ℕ
- Operations: increment, decrement, zero test
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Trivial mechanism

Consists of one state and no operations.

C(M): Adding a blind counter

- States: (s, z), s an old state, $z \in \mathbb{Z}$.
- Operations: old operations; increment, decrement for counter
- Initial state: $(s_0, 0)$
- Final states: (f, 0), f final in old mechanism

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S(M): Building stacks

- States: sequences $\Box c_1 \Box c_2 \Box \cdots \Box c_n$, c_i old states
- Operations: push separator, pop if empty, manipulate topmost entry
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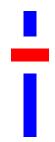
Stacked counters

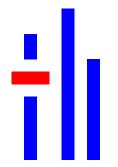
Mechanisms obtained from the trivial one by

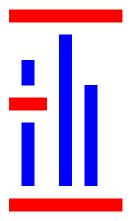
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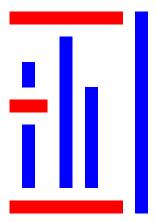


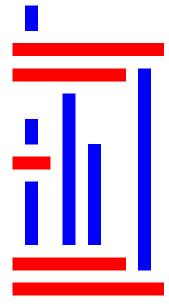
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• Generalize both pushdown automata and blind counter automata

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Theorem (Z. 2014)

Downward closures are computable for stacked counter automata.

Expressiveness

Algebraic extensions

Let \mathcal{C} be a language class. A \mathcal{C} -grammar G consists of

- Nonterminals N, terminals T, start symbol $S \in N$
- Productions $A \rightarrow L$ with $L \subseteq (N \cup T)^*$, $L \in C$

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Semilinear constraints

Let ${\mathcal C}$ be a language class. ${\sf SLI}({\mathcal C})$ denotes the class of languages

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for some $L \in C$, a homomorphism h and a semilinear set S.

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Example

$$h(a^*bc^* \cap \Psi^{-1}(b + (a + c)^{\oplus})))$$

 $h: a, c \mapsto a, b \mapsto b.$

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$$X^{\oplus} = \{\mu \mid \mu \colon X \to \mathbb{N}\}, \text{ multisets.}$$

- $\Psi \colon X^* \to X^{\oplus}$, $\Psi(w)(x) = |w|_x$ is the Parikh map.
- For $F = \{\mu_1, \dots, \mu_n\} \subseteq X^{\oplus}$, let $F^{\oplus} = \{\sum_{i=1}^n a_i \mu_i \mid a_1, \dots, a_n \in \mathbb{N}\}$
- Sets of the form $\mu_0 + F^{\oplus}$ are called *linear*.
- Finite unions of linear sets are called semilinear.

Semilinear constraints

Let ${\mathcal C}$ be a language class. ${\sf SLI}({\mathcal C})$ denotes the class of languages

```
h(L \cap \Psi^{-1}(S))
```

for some $L \in C$, a homomorphism h and a semilinear set S.

$$h(a^*bc^* \cap \Psi^{-1}(b + (a + c)^{\oplus})) = \{a^n ba^n \mid n \ge 0\}, \ h: a, c \mapsto a, \ b \mapsto b.$$

Hierarchy

 $F_0 = finite \ languages,$

$$G_i = Alg(F_i),$$
 $F_{i+1} = SLI(G_i),$ $F = \bigcup_{i \ge 0} F_i.$

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$$\mathcal{L}(S(S(M))) = \operatorname{Alg}(\mathcal{L}(M)), \qquad \bigcup_{i \ge 0} \mathcal{L}(C^{i}(M)) = \operatorname{SLI}(\mathcal{L}(M)).$$

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Theorem

$$\mathcal{L}(S(S(M))) = \operatorname{Alg}(\mathcal{L}(M)), \qquad \bigcup_{i \ge 0} \mathcal{L}(C^{i}(M)) = \operatorname{SLI}(\mathcal{L}(M)).$$

Corollary

Stacked counter automata accept precisely the languages in F.

Georg Zetzsche (TU KL)

Effectively Regular Downward Closures

i≥0

Ingredient I

van Leeuwen proved a stronger statement:

Theorem (van Leeuwen 1978)

If C is closed under regular intersections: Downward closures computable for $C \implies$ computable for Alg(C).

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Consequence

Algorithm for $F_i \implies Algorithm$ for $G_i = Alg(F_i)$.

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Case 1

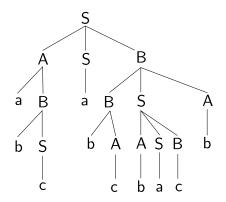
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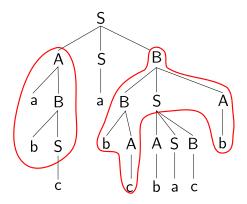
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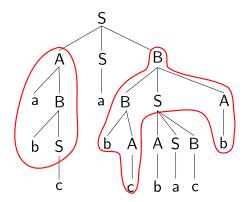


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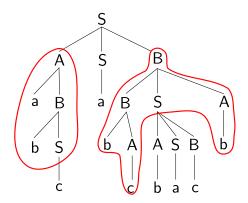
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Algorithm

 For nonterminals A ≠ S, construct grammar G_A:
 Start symbol A
 S is now terminal

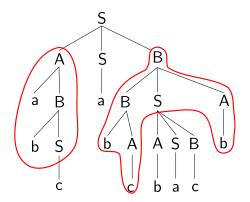
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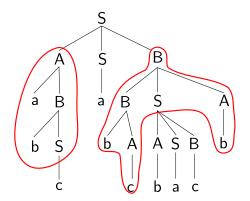
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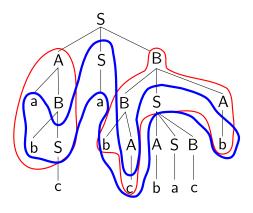
Algorithm

- For nonterminals A ≠ S, construct grammar G_A:
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- *G_A* has fewer nonterminals
- Compute $L(G_A) \downarrow$



Algorithm

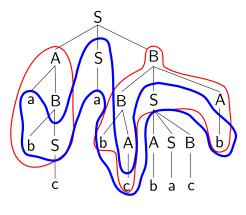
- For nonterminals A ≠ S, construct grammar G_A:
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- In each $S \to L$, replace each A by $L(G_A) \downarrow$



 $\mathsf{S} \to \mathsf{abSSbcSb}$

Algorithm

- For nonterminals A ≠ S, construct grammar G_A:
 Start symbol A
 - *S* is now terminal
- *G_A* has fewer nonterminals
- Compute L(*G*_A)↓
- In each $S \rightarrow L$, replace each A by $L(G_A) \downarrow$



 $\mathsf{S} \to \mathsf{abSSbcSb}$

Algorithm

• For nonterminals $A \neq S$, construct grammar G_A :

Start symbol *A S* is now terminal

- *G_A* has fewer nonterminals
- Compute L(*G*_A)↓
- In each $S \rightarrow L$, replace each A by $L(G_A) \downarrow$
- Resulting grammar has one nonterminal

$F_0 \subseteq G_0 \subseteq F_1 \subseteq G_1 \subseteq \cdots \subseteq F$

Problem

 \bullet Computability preserved by $\mathsf{Alg}(\cdot)$

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- $\bullet~\mbox{No}~\mbox{preservation}$ for $\mbox{SLI}(\cdot)$

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Problem

- \bullet Computability preserved by $\mathsf{Alg}(\cdot)$
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Idea

• Given $L \in F_{i+1} = SLI(G_i)$, construct $L' \in G_i$ with $L' \downarrow = L \downarrow$.

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- Plan: Use finite state transductions to stay within G_i

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Problem

- \bullet Computability preserved by $\mathsf{Alg}(\cdot)$
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Idea

- Given $L \in F_{i+1} = SLI(G_i)$, construct $L' \in G_i$ with $L' \downarrow = L \downarrow$.
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Theorem (Parikh)For context-free L, $\Psi(L)$ is semilinear.Georg Zetzsche (TU KL)Effectively Regular Downward ClosuresSeminar Cachan15/21

$F_0 \subseteq G_0 \subseteq F_1 \subseteq G_1 \subseteq \cdots \subseteq F$

Problem

- \bullet Computability preserved by $\mathsf{Alg}(\cdot)$
- No preservation for $\mathsf{SLI}(\cdot)$

Idea

- Given $L \in F_{i+1} = SLI(G_i)$, construct $L' \in G_i$ with $L' \downarrow = L \downarrow$.
- Wlog $L = K \cap \Psi^{-1}(S)$, $K \in G_i$, S semilinear
- Construct $K' \in \mathsf{G}_i$ with $K \cap \Psi^{-1}(S) \subseteq K' \subseteq (K \cap \Psi^{-1}(S)) \downarrow$
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 $L = (ab)^* (ca^* \cup db^*)$ Parikh image: $c + \{a + b, a\}^{\oplus} \cup d + \{a + b, b\}^{\oplus}$.

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Parikh image: $c + \{a + b, a\}^{\oplus}$ \cup $d + \{a + b, b\}^{\oplus}$.
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- Additional symbols encode decomposition of Parikh image into constant and period vectors
- Adding period vectors by inserting at designated positions

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$$\Psi(\mathbf{v}) = \Psi(\pi_X(\mathbf{cw})) + \varphi(\kappa), \qquad \quad \pi_X(\mathbf{cw}) \leqslant \mathbf{v}.$$

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Lemma

Let G be a reduced C-grammar and $\psi: T^* \to \mathbb{Z}$ a morphism such that $\psi(w) = 0$ for every $w \in L(G)$. Then ψ extends uniquely to a G-compatible morphism $\psi: (N \cup T)^* \to \mathbb{Z}$.

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Corollary Given $L \in G_i$ and semilinear S, one can construct $L' \in G_i$ with $L \cap \Psi^{-1}(S) \subseteq L' \subseteq (L \cap \Psi^{-1}(S)) \downarrow$.

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- Select all words where adding period vectors leads into S
- Downward closed set of multisets of period vectors
- Recognizable by finite automaton

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Conclusion

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Thank you for your attention!

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- Let L' be obtained from K by replacing every $x \in C \cup P$ by $a^{\varphi(x)(a)}$.
- Then $L' = \{a^n b^n a^n \mid n \ge 0\}$, which is not context-free.

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