## Downward Closures of Indexed Languages

#### Georg Zetzsche

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#### HOPA 2015

Georg Zetzsche (TU KL)

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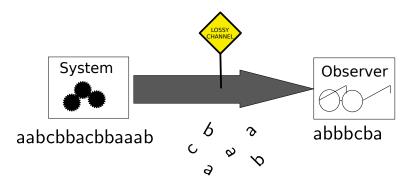


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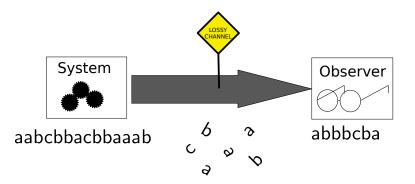
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#### Downward Closures

- $u \leq v$ : *u* is a subsequence of *v*
- $L \downarrow = \{ u \in X^* \mid \exists v \in L \colon u \leq v \}$
- Observer sees precisely  $L\downarrow$

#### Theorem (Higman/Haines)

For every language  $L \subseteq X^*$ ,  $L \downarrow$  is regular.

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 Ordinary inclusion almost always undecidable!

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### Problem

- Finite automaton for  $L\downarrow$  exists for every L.
- How can we compute it?

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Downward closures are computable for context-free languages.

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Theorem (Z. 2015)

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- Weak form of stack nesting
- Adding Counters

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## Theorem (Gruber, Holzer, Kutrib 2009)

Downward closures are not computable when infinity or emptiness are undecidable.

Theorem (Mayr 2003)

The reachability set of lossy channel systems is not computable.

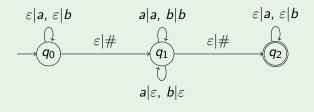
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## Theorem (Z. 2015)

Downward closures are computable for indexed languages, i.e. for second-order pushdown automata.

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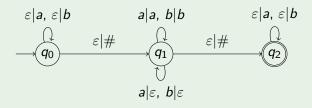
## Example (Transducer)



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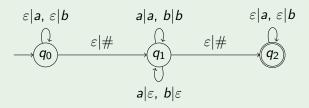
## Example (Transducer)



$$T(A) = \{(x, u \# v \# w) \mid u, v, w, x \in \{a, b\}^*, v \leq x\}$$

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## Example (Transducer)



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#### Definition

- Rational transduction: set of pairs given by a finite state transducer.
- For rational transduction  $T \subseteq X^* \times Y^*$  and language  $L \subseteq Y^*$ , let

$$TL = \{ y \in X^* \mid \exists x \in L : (x, y) \in T \}$$

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## Fact (Aho 1968)

For every indexed language L and rational transduction T, the language TL is indexed as well.

### Theorem (Z. 2015)

Let C be a language class that is closed under rational transductions. Then downward closures are computable for C if and only if the following problem is decidable:

Given A language  $L \subseteq a_1^* \cdots a_n^*$  in C

Question Does  $L \downarrow$  equal  $a_1^* \cdots a_n^*$ ?

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Every language  $L\downarrow$  can be written as a finite union of sets of the form

$$Y_0^*\{x_1,\varepsilon\}Y_1^*\cdots\{x_n,\varepsilon\}Y_n^*,$$

where  $x_1, \ldots, x_n$  are letters and  $Y_0, \ldots, Y_n$  are alphabets.

"Simple Regular Languages"

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Suppose  $L \subseteq X^*$  is given. Enumerate simple regular languages R. Decide whether  $L \downarrow = R$ :

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Suppose  $L \subseteq X^*$  is given. Enumerate simple regular languages R. Decide whether  $L \downarrow = R$ :

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$$L \downarrow \subseteq R$$
 iff  $L \downarrow \cap (X^* \backslash R) = \emptyset \rightsquigarrow$  emptiness.

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#### • It suffices to check whether $Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^* \subseteq L \downarrow$ .

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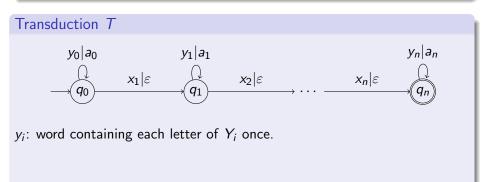
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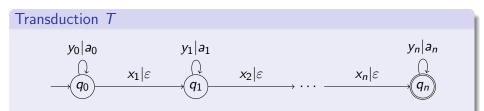
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 $y_i$ : word containing each letter of  $Y_i$  once. Then:

$$T(L{\downarrow}){\downarrow} = a_0^* \cdots a_n^* \quad \text{iff} \quad Y_0^*\{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^* \subseteq L{\downarrow}$$

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## Indexed Grammars

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Idea: Each nonterminal carries a stack.

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## Indexed Grammars

Idea: Each nonterminal carries a stack. Tuple G = (N, T, I, P, S), where

- N, T, I are nonterminal, terminal, index alphabet,
- $S \in N$  start symbol

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  - $A \rightarrow Bf$ , push index  $(f \in I)$
  - $Af \rightarrow B$ , pop index  $(f \in I)$
  - $A \rightarrow uBv$ , generate terminals  $(u, v \in T^*)$
  - $A \rightarrow BC$ , split and duplicate index word
  - $A \rightarrow w$ , generate only terminals  $(w \in T^*)$

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$$\begin{split} S \to Sf, & S \to Sg, \quad S \to UU, \quad U \to \varepsilon, \\ Uf \to A, & Ug \to B, \quad A \to Ua, \quad B \to Ub. \end{split}$$

 $N = \{S, T, A, B\}, I = \{f, g\}, T = \{a, b\}.$ 

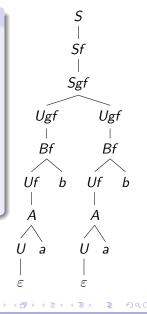


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$$S \to Sf$$
,  $S \to Sg$ ,  $S \to UU$ ,  $U \to \varepsilon$ ,  
 $Uf \to A$ ,  $Ug \to B$ ,  $A \to Ua$ ,  $B \to Ub$ 

 $N = \{S, T, A, B\}, I = \{f, g\}, T = \{a, b\}.$ 



Given: indexed grammar G with  $L = L(G) \subseteq a_1^* \cdots a_n^*$ , wlog  $L = L \downarrow$ .

### Observation

- Suppose  $L \downarrow = a_1^* \cdots a_n^*$ .
- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .

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- Consider the derivations for  $a_1^k \cdots a_n^k$ ,  $k \ge 0$ .
- For each  $a_i$ , at least one of the following holds:

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- Then,  $L(G) \downarrow = a_1^* \cdots a_n^*$  iff  $L(G_D) \downarrow = a_1^* \cdots a_n^*$  for some D.

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#### Idea

Instead of unfolding  $a_i$ -subtree with root Au,  $u \in I^*$ , apply transducer to u

For transduction  $T \subseteq NI^* \times a_i^*$ , let  $f_T, f_G \colon NI^* \to \mathbb{N}\{\infty\}$  be

$$f_T(Au) = \sup\{|v| \mid (u, v) \in T\}$$
  
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#### Proposition

For each indexed grammar G, one can construct a rational transduction T with  $f_T \approx f_G$ .

 $f \approx g$ : f is unnounded on the same subsets as g

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- Only one nonterminal occurrence for transducer
- $\Rightarrow\,$  Bound on nonterminal occurrences, "breadth-bounded"

## Remaining problem

Georg Zetzsche (TU KL)

- Given: Breadth-bounded indexed grammar G,  $L(G) \subseteq a_1^* \cdots a_n^*$
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Breadth-bounded indexed grammars have effectively semilinear Parikh images.

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Breadth-bounded indexed grammars have effectively semilinear Parikh images.

Then, it is clearly decidable whether  $L(G) \downarrow = a_1^* \cdots a_n^*$ .

Thank you for your attention!

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