Valence automata as a generalization of automata with storage

Georg Zetzsche

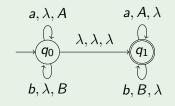
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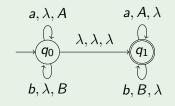
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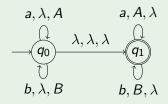
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$$L = \{ww^{\mathsf{rev}} \mid w \in \{a, b\}^*\}$$

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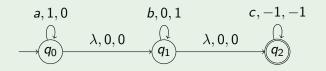
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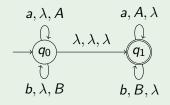


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Example (Blind counter automaton)

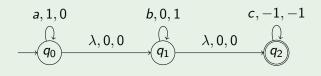




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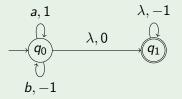
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Example (Blind counter automaton)



 $L = \{a^n b^n c^n \mid n \ge 0\}$

Example (Partially blind counter automaton)



 $L = \{w \in \{a, b\}^* \mid |p|_a \ge |p|_b \text{ for any prefix } p \text{ of } w\}$

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Valence automata

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Automata models that extend finite automata by some storage mechanism:

- Pushdown automata
- Blind counter automata
- Partially blind counter automata
- Turing machines

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Each storage mechanism consists of:

- States: set S of states
- Operations: partial maps $\alpha_1, \ldots, \alpha_n : S \to S$

Model	States	Operations
Pushdown automata	<i>S</i> = Γ*	push _a : $w \mapsto wa, a \in \Gamma$ pop _a : $wa \mapsto w, a \in \Gamma$

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Blind counter automata	$S = \mathbb{Z}^n$	$inc_i : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_i + 1, \dots, x_n)$ $dec_i : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_i - 1, \dots, x_n)$

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Observation

Here, a sequence β_1, \ldots, β_k of operations is valid if and only if

$$\beta_1 \circ \cdots \circ \beta_k = \mathsf{id}$$

Definition

A monoid is

- a set *M* together with
- an associative binary operation $\cdot: M \times M \to M$ and
- a neutral element $1 \in M$ (a1 = 1a = a for any $a \in M$).

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Storage mechanisms as monoids

- Let S be a set of states and $\alpha_1, \ldots, \alpha_n : S \to S$ partial maps.
- The set of all compositions of $\alpha_1, \ldots, \alpha_n$ is a monoid M.
- The identity map is the neutral element of *M*.
- *M* is a decription of the storage mechanism.

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Common generalization: Valence Automata

Valence automaton over M:

• Finite automaton with edges $p \xrightarrow{w|m} q$, $w \in \Sigma^*$, $m \in M$.

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- Finite automaton with edges $p \xrightarrow{w|m} q$, $w \in \Sigma^*$, $m \in M$.
- Run $q_0 \xrightarrow{w_1|m_1} q_1 \xrightarrow{w_2|m_2} \cdots \xrightarrow{w_n|m_n} q_n$ is accepting for $w_1 \cdots w_n$ if
 - q_0 is the initial state,
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 is the initial state,

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 is a final state, and

$$m_1\cdots m_n=1.$$

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Questions

• Which storage mechanisms increase the expressive power?

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- for each state $p \in Q$ and each letter $a \in \Sigma$, there is at most one edge $p \xrightarrow{a|m} q$ for some $m \in M, q \in Q$.

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 $\det VA(M)$ languages accepted by deterministic valence automata over M.

Questions

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- When does detVA(M) = VA(M)?

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Theorem

The following statements are equivalent:

- VA(M) = REG.
- **2** detVA(M) = VA(M).
- Every finitely generated submonoid of M possesses only finitely many right-invertible elements.

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- Every finitely generated submonoid of M possesses only finitely many right-invertible elements.

(1) \Leftrightarrow (3) has been shown independently by Elaine Render in 2010.

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$$\mathsf{R}(M) = \{ x \in M \mid \exists y \in M : xy = 1 \} \quad \mathsf{R}(x) = \{ y \in M \mid xy = 1 \}$$

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$$\begin{array}{ll} \mathsf{R}(M) = \{x \in M \mid \exists y \in M : xy = 1\} & \overline{\mathsf{R}}(x) = \{y \in M \mid xy = 1\} \\ \mathsf{L}(M) = \{x \in M \mid \exists y \in M : yx = 1\} & \overline{\mathsf{L}}(x) = \{y \in M \mid yx = 1\} \end{array}$$

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$$\mathsf{J}(M) = \{ x \in M \mid \exists y, z \in M : yxz = 1 \}$$

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Lemma (Dichotomy)

For each monoid M, exactly one of the following holds:

1
$$R(M) = L(M) = J(M)$$
 is a finite group.

② There are infinite subsets $S \subseteq R(M)$, $S' \subseteq L(M)$ such that $\overline{R}(s) \cap \overline{R}(t) = \emptyset$ for any *s*, *t* ∈ *S* and

$$\overline{\mathsf{L}}(s) \cap \overline{\mathsf{L}}(t) = \emptyset$$
 for any $s, t \in S'$.

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Silent transitions

Definition

Transitions $p \xrightarrow{\lambda \mid m} q$ are called *silent* or λ -*transitions*.

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Valence automata

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Transitions $p \xrightarrow{\lambda \mid m} q$ are called *silent* or λ -*transitions*. VA⁺(*M*) Languages accepted without λ -transitions.

Important problem

- When can λ -transitions be eliminated?
- Without λ-transitions, decide membership using exponential number of queries to the word problem.
- Elimination can be regarded as a precomputation.

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Monoids defined by graphs

By graphs, we mean undirected graphs with loops allowed.

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Notation

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- $\bullet~\mathbb{Z}$: monoid for blind counter, i.e. the group of integers

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- B: monoid for partially blind counter
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Intuition

To each graph $\Gamma,$ we associate a monoid $\mathbb{M}\Gamma:$

- $\bullet\,$ For each unlooped vertex, we have a copy of $\mathbb B$
- \bullet For each looped vertex, we have a copy of $\mathbb Z$
- $\bullet~\ensuremath{\mathbb{M}\Gamma}$ consists of sequences of such elements
- An edge between vertices means that elements can commute

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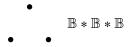
Blind multicounter

 \mathbb{Z}^3



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Blind multicounter



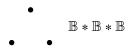


Blind multicounter

Pushdown



Blind multicounter



Pushdown







Blind multicounter

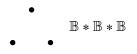




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Pushdown

$$\mathbb{B}^3$$

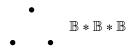
Partially blind multicounter

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Blind multicounter



Pushdown





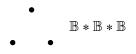
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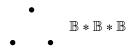
Partially blind multicounter

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Blind multicounter



Pushdown





 $(\mathbb{B} * \mathbb{B}) \times (\mathbb{B} * \mathbb{B})$

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Partially blind multicounter

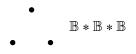
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Valence automata

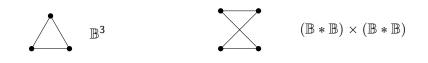
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Blind multicounter



Pushdown



Partially blind multicounter

Infinite tape (TM)

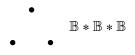
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Valence automata

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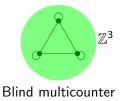
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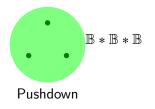


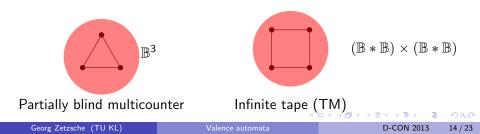
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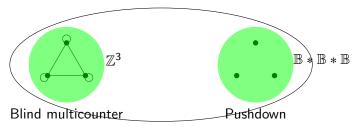


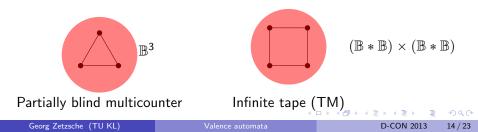


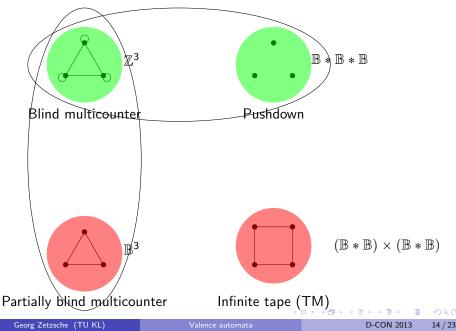


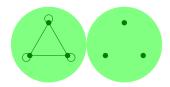








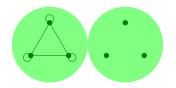




Let Γ be a graph such that

- between any two looped vertices, there is an edge and
- between any two unlooped vertices, there is no edge.

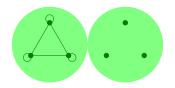
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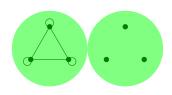
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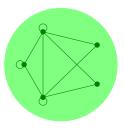
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Then $VA(\mathbb{M}\Gamma) = VA^+(\mathbb{M}\Gamma)$ if and only if Γ does not contain



as an induced subgraph.





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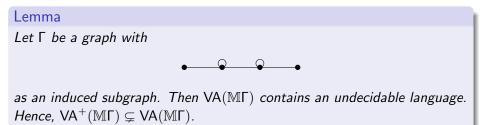
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as an induced subgraph.

By reduction to an undecidable problem from group theory, we obtain:



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Definition

Let $\ensuremath{\mathcal{C}}$ be the smallest class of monoids such that

- $1 \in \mathcal{C}$
- if $M \in \mathcal{C}$, then $M \times \mathbb{Z} \in \mathcal{C}$
- if $M \in \mathcal{C}$, then $M * \mathbb{B} \in \mathcal{C}$

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Definition

Let $\ensuremath{\mathcal{C}}$ be the smallest class of monoids such that

- $\bullet \ 1 \in \mathcal{C}$
- if $M \in \mathcal{C}$, then $M \times \mathbb{Z} \in \mathcal{C}$
- if $M \in \mathcal{C}$, then $M * \mathbb{B} \in \mathcal{C}$

Lemma

Let Γ be a graph such that

- between any two looped vertices, there is an edge
- between any two unlooped vertices, there is no edge
- • • does not appear as an induced subgraph

Then, $\mathbb{M}\Gamma \in \mathcal{C}$.

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Definition

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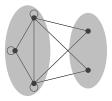
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Interpretation of $\ensuremath{\mathcal{C}}$

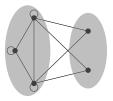
 $\ensuremath{\mathcal{C}}$ corresponds to the class of storage mechanisms obtained by

- adding a blind counter $(M \times \mathbb{Z})$ and
- building stacks $(M * \mathbb{B})$.

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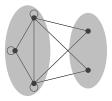


- Let $\Gamma = (V, E)$, $V = L \cup U$, looped and unlooped vertices.
- For each $x \in L$, let $\nu(x) = N(x) \cap U$



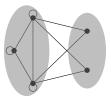
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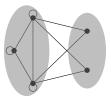
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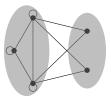
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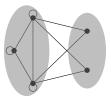
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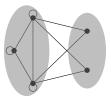


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Elimination of λ -transitions

Definition

A subset $S \subseteq M$ is called *rational* if it is the homomorphic image of a regular language.

Elimination of λ -transitions

Approach:

- Between a given pair of non-λ-transitions, the set of x ∈ M applied in between is a rational set.
- Transform the automaton so as to simulate the application of a rational set in one step.

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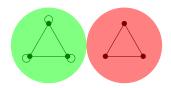
Proof ingredients

Ingredients

- Semilinearity of languages in $\mathsf{VA}(\mathbb{M}\Gamma)$
- \bullet Normal form for rational subsets of $\mathbb{M}\Gamma$

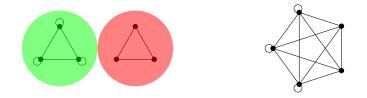
Construction for $VA^+(M\Gamma) = VA(M\Gamma)$

- Actual construction quite involved.
- Stronger claim to make induction work.
- Separate constructions for \mathbb{B} , $M \times \mathbb{Z}$, and $M * \mathbb{B}$.
- Representations of rational sets are encoded into the state or the monoid elements.



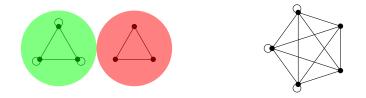
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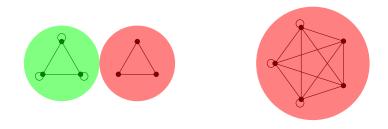
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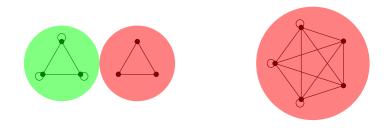
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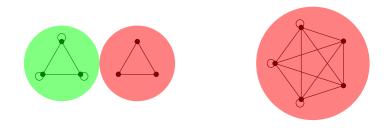
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Observation

 $VA(\mathbb{B} \times \mathbb{Z}^s) = VA^+(\mathbb{B} \times \mathbb{Z}^s)$ already follows from the first theorem.

More classical results can be generalized:

Work in Progress

• For which storage mechanisms do we have a Parikh theorem?

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Work in Progress

- For which storage mechanisms do we have a Parikh theorem?
- For which can we perform model checking?
- For which can we compute the downward closure?